FORM –I

{See sub-rule (1), (3) and (4) of rule 17}

Nomination/Fresh Nomination/Modification of Nomination (Strike out the words not applicable)

(Give here name or description of the establishment with full address)

Or

clause (33) of section 2 of the Code on Social Security, 2020.

3. I hereby declare that I have no family within the meaning of clause (33) of section 2 of the said Code.

- 4. (a) My father/mother/parents is/are not depend on me.
- (b) My husband's father/mother/parents is/are not dependent on my husband.
- 5. I have excluded my husband from my family by a notice dated theto the competent authority in terms of clause (33) of section 2 of the said Code.
- 6. Nomination made herein invalidates my previous nomination.

		Nominee(s)		
SI. No.	Name in full with full address of nominee(s)	Relationship with the employee	Age of nominee	Proportion by which the gratuity will be shared
1				
2				
3				
So on				<u> </u>

Manner of Acquiring a "Family"

(Here give details as to how a family was acquired, i.e. whether by marriage or parents being rendered dependent or through other process like adoption)

Statement

1. Name of employee in full

2. Sex

3. Religion

4. Whether unmarried/married/widow/widower

5. Department/Branch/Section where employed

6. Post held with Ticket No. or Serial No., if any

7. Date of appointment

8. Permanent address

Village..... Post-Office..... Sub-Division.....

Thana.....

Place:

Date:

Signature/Thumb-impression of the Employee

Certificate by the Employer

Certified that the particulars of the above nomination have been verified and recorded in the establishment.

Employer's Reference No., if any

Signature of the employer/Officer authorized Designation

Name and address of the establishment or rubber stamp thereof

Date:

Acknowledgement by the employee

Received the duplicate copy of nomination in Form-III filed by me and duly certified by the employer.

Date.....

Signature of Employee

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FORM-II

(See sub-rule (4) of rule 17)

Application for Gratuity by an Employee/Nominee/Legal Heir

Το.....

(Give here name or description of the establishment with full address)

Sir/Madam,

(a) my superannuation/retirement/resignation after completion of not less than five years of continuous service/total disablement due to accident/total disablement due to disease/ on termination of contract period under fixed term employment with effect from the......or,

Necessary particulars relating to my appointment are given in the statement below.

1. Name of employee in full, (if, the gratuity is claimed by an employee)

(a) Marital status of employee (unmarried/married/widow/widower)

(b) Address in full of employee

Or

2. Name of nominee/legal heir, (if, the gratuity is claimed by nominee/legal heir)

- a. Name of Employee
- b. Marital status of nominee/legal heir (unmarried/married/widow/widower)

c. Relationship of nominee/legal heir with the employee

- d. Address in full of nominee/legal heir
- e. Date of death and proof of death of the employee
- f. Reference No. of recorded nomination if available
- 3. Department/Branch/Section where last employee
- 4. Post held by employee
- 5. Date of appointment
- 6. Date and cause of termination of service
- 7. Date of death
- 8. Total period of service of the employee
- 9. Total wages last drawn by the employee
- 10. Total gratuity payable to the employee/share of gratuity claimed by a nominee/legal heir.

11. Payment may please be made by crossed bank cheque/credit in my bank account no.

Yours faithfully,

Signature/Thumb-impression of the applicant employee/nominee/legal heir

FORM-III

(See sub-rule (1)(a) and sub-rule (2) of rule 18)

Notice for Payment/Rejecting Claim for Gratuity

You are hereby informed that

(a)*as required under sub-clause (ii) of clause (a) of sub-rule (2) of rule 35 of the Code on Social Security (Central) Rule,2020, that your claim for payments of gratuity as indicated on your application in FORM-IV under the said rules is not admissible for the reasons stated below:

Reasons (Here specify the reasons); or

(b)*as	required	under	sub-cla	use (i)	of clau	se (a) o:	f sub-r	ule 35	the Co	ode on	Socia	al Securi	ty (Cen	tral)
Rules,	2020 tha	it a sur	n of Rs.		(Rup	ees							• ·	.) is
payabl	e to you	as gra	atuity as	your	share of	gratuit	y in te	erms of	f nomin	ation	made	by		on
		and			.recorde	t in	this		•••••	as	s a	legal	heir	of
•••••••••		a	s employ	yee of	this esta	blishmer	ıt.					-		

3. Amount payable shall be sent to you through demand draft or shall be credited in your bank account as desired by you.

4. Brief statement of calculation.

- a. Date of appointment
- b. Date of termination/superannuation/resignation/disablement/death.
- c. Total period of service of the employee concerned:.....years......years.....
- d. Wages last drawn:
- e. Proportion of the admissible gratuity payable in terms of nomination/as a legal heir
- f. Amount payable:

*strike out para, if, not applicable

Place:

Date:

Signature of the Employer/ Authorized officer. Name or description of establishment or rubber stamp thereof

Copy to: The Competent Authority in case of denial of gratuity.

Copy also to: Office of DG Labour Bureau, Ministry of Labour and Employment, Chandigarh.

FORM-IV

(See sub-rule (1)(b) and sub-rule(4) of rule 18)

Application for Direction

Before the Competent Authority for Chapter V under the Code on Social Society,2020

Application No.....

Date.....

BETWEEN

(Name in full of the applicant with full address) AND (Name in full of the employer concerned with full address)

3. The applicant submits that there is a dispute on the matter (specify the dispute)

4. The applicant furnishes the necessary particulars in the annexure hereto and prays that the Competent Authority may be pleased to determine the amount of gratuity payable to the petitioner and direct the above mentioned employer to pay the same to the petitioner.

5. The applicant declared that the particulars furnished in the annexure hereto are true and correct to the best of the knowledge and belief.

Date:

ANNEXURE

Signature/Thumb Impression of the applicant

1. Name in full of applicant with full address

2. Basis of claim (Death/Superannuation/Retirement/Disablement of Employee/Completion of contract period under Fixed Term Employment)

3. Name and address in full of the employee

4. Marital status of employee (unmarried/married/widow/widower)

5. Name and Address in full of the employee

6. Department/Branch/Section where the employee was last employed(if known)

7. Post held by the employee with Ticket or SI. No., if any (if known)

8. Date of appointment of the employee (if known)

9. Date and clause of termination of service of the employee (Superannuation/ retirement/ resignation/ disablement/ death/ Completion of contract period under Fixed Term Employment)

10. Total period of service by the employee

11. Wages last drawn by the employee

12. If the employee is dead, date and cause thereof

13. Evidence/ witness in support of death of the employee.

14 If a nominee, No. and date of recording of nomination with the employer

15. Evidence/witness in support of being a legal heir if a legal heir

16. Total gratuity payable to the employee (if known)

17. Percentage of gratuity payable to the applicant as nominee legal heir

18. Amount of gratuity claimed by the applicant.

FORM-V (see sub-rule(5) of rule 18)

-27-

Notice for Appearance before the Competent Authority

То

(Name and address of the employer/applicant)

Whereas Shri.....an employee under you/ a nominee(s)/ legal heir(s) of Shrian employee under the above mentioned employer, has/have filed an application under sub-rule (4) of rule 35 of the Code on Social Security (Central) Rules, 2020 alleging that_

(A copy of the said application is enclosed, if summon is issued then copy of application is not required)

Take notice that in default of your appearance on the day before mentioned, the application will be dismissed/heard and determined in your absence.

List of documents-

1.

2.

3. so on

Given Under my hand and seal, this..... day of...... 20.....

Competent Authority under the Code on Social Security Code 2020

Note: 1. Strike out the words and paragraphs not applicable.

2. The portion not applicable to be deleted.

3. The summons shall be issued in duplicate. The duplicate is to be signed and returned by person served before the date fixed.

4. In case the summons is issued only for producing a document and not to give evidence it will be sufficient compliance to the summons if the documents are caused to be produced before the Competent authority on the day and hour fixed for the Purpose.

FORM-VI

(See sub-rule (8) of rule 18)

Summons

Before the Competent Authority under section of the Code on Social Security, 2020 (No. 36 of 2020)

Τо

(Name and address)

List of Documents

	•
	•
•••••••••••••••••••••••••••••••••••••••	•••

Competent Authority

Dated, the......day of,20.....

Notes: 1. The portion not applicable to be deleted.

2. The summons shall be issued in duplicate. The duplicate is to be signed and returned by person served before the date fixed.

3. In case the summons is issued only for producing a document and not to give evidence it will be sufficient compliance to the summons if the documents are caused to be produced before the Competent authority on the day and hour fixed for the Purpose.

Form-VII (See sub-rule (11) of rule 18)

Notice for Payment of Gratuity as Determined by Competent Authority

(Strike out the words not applicable)

То

(Name and address of employer)

of the Code on Social Security, 2020 before me; or

Whereas a notice was given to you onrequiring you to make payment of

Rs. to Shri/Smt/Kumari as gratuity under the Code on Social Security, 2020.

2) And whereas the applicant was heard in your presence onand after the hearing have come to the finding that the said Shri/Smt/Kumari is entitled to a payment of Rs ,as gratuity under the Code on Social Security, 2020. Or

Whereas you/the applicant went in appeal before the appellate authority, who has decided that an amount of Rs is due to be paid to Shri/Smt/Kumari as gratuity due under the Code on Social Security , 2020.

Given under my hand and seal, this day of 20,

Competent Authority Under the Code on Social Security Code, 2020.

Copy to:-

1. The Applicant - He is advised to contact the employer for collecting payment.

2. The Appellate Authority if applicable.

Note :- (Strike out paragraphs if not applicable)

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FORM – VIII

(See sub-rule (13) of rule 18)

Application for Recovery of Gratuity

Before the Competent Authority for Chapter V under the Social Security Code, 2020

Application No.

BETWEEN

(Name in full of the applicant with full address)

AND

(Name in full of the employer/ Trust/ Insurer concerned with full address)

1) The applicant is an employee of the above-mentioned employer/a nominee of late

2) The applicant submits that the said employer failed to pay the said amount of gratuity to me as directed by you although I approached him for payment.

3) The applicant therefore prays that a certificate may be issued under section 129 of the Code for recovery of the said sum of Rs. due to me as gratuity in term of your direction.

Signature/Thump-impression of applicant.

Date

Note :- Strike out the words not applicable.

FORM – IX (See rule 21)

Notice Book

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SI. No	Name of premises of the establishment	Nature of injury	Payment of compensation made	Medical examination carried out	Remarks
		I			

Signature of employer maintaining the Notice Book

FORM – X (See rule 22)

Statement of Fatal Accident

To

The Competent Authority,

Sir,

2) The circumstances attending the death of the employee/worker were as under :-

a) Time of the accident.	
b) Place where the accident occurred.	
c) Manner in which the deceased employed/worker was employed at the time of the accident.	
d) Cause of the accident	
e) Any other relevant particulars	

Date

Signature and designation of person making report

STATEMENT

Name	Sex	Age	Nature of employment	Full postal address

FORM – XI

(See sub-rule (1) of rule 23)

Register of Registration of Agreements for the year

SI. No.	Date of agreement	Date of registration	Name of employer in the agreement	Name of the employee/ dependant in the agreement	Initial of competent authority	Reference to the orders rectifying the agreement in the register

FORM – XII (See rule 30) Model Section Orders Writing Off Losses

Ordered that the amount written off with its details shall be recorded accounts of the (name of social security organization).

Chairperson, State Social Security Organization concerned -34-

Form-XIII (See rule 32) Memorandum of inspection

Date Time
Name, address and contact number of the inspecting officer-
Name
Address
Contact Number
1. Particulars of establishment inspected.
a. Name and address of the establishment
b. Date of commencement of the establishment
c. No. and date of registration of the establishment under any central labour law.
d. Name, address and contact number of employer of the establishment.
i) Name
ii) Age
iii) Spouse's name
iv) Contact no
e. Number of employees employed on any employment in the establishment.
i) Male employee
ii) Female employee
f. Number of migrant employees employed in the establishment.
2. Irregularities detected in the inspection.
a. Contravention of provisions of section of the Code on Social Security, 2020 while the establishment was not registered under section 3 of the Code, while is an offence punishable withunder section
b. Contravention of provisions of section of the Code on Social Security, 2020 while register of women employed is not maintained by the employer, while is an offence punishable with under section
c. Contravention of provisions of section of the Code on Social Security, 2020 while the employer failed to pay cess payable by him under section 100of the Code, while is an offence punishable with

..... under section..... of the said Code.

٠,

d. Contravention of provisions of section of the Code on Social Security, 2020 while register of women employed in the establishment was not maintained by the employer, while is an offence punishable with under section........ of the said Code.

3. Direction given to the employer:

The employer is directed to rectify the irregularities detected and to furnish the reasons as to why he is not liable for the offences under the Code arising out of the irregularities detected by the inspection within thirty days of the receipt of this memorandum of inspection.

Signature of inspecting Officer

Form-XIV (See sub-rule (1) of rule 33) Register of women employees to be maintained by employer

1. Name and address of the establishment.....

2. Name and address of the employer.....

Particulars of women employees employed in the establishment:

.

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SI. No.	Name	Age	Date of commencement of employment	Designation	Signature of employee	

Signature of employer

-37-

Form-XV (See sub-rule(3) of rule 33) Annual Returns

A. General Part:

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	a. Name of the estab	lishment			
	Address of the est	tablishment:			
	House No./Flat N	0	Street No./P	lot No	
	Town	District	State	Pin Code	
	b. Name of the empl	oyer			
	Address of the en	ıployer:			
	House No./Flat N	0	Street No./Plot	No	
•	Town	District	State	Pin Code	
	E-mail ID	Te	lephone no	Mobile no	
	c. Name of the man	ager or person respon	sible for supervisior	and control of establishment	t
A	ddress:				
	House No./Flat No	0	Street No./Piot	No	
	Town	District	State	Pin Code	
	E-mail ID		ephone no	Mobile no	

B. Employer's Registration/License number under the Code mentioned in column (2) of the table below:

SI. No.	Name	Registration	If yes (Registration No.)
(1)	(2)	(3)	(4)
I	The Code on Occupational Safety Health and working condition code 2020.		
2	The code on social security 2020		
3	Any other Law for the time being in force		

C. Details of Employer, Contractor and Contract Labour:

1	Name of the employer in the case of a contractor's establishment				
2	Date of commence				
3	No. of Contractors engaged in the establishment during the year.				
4	Total no. of days during the year on which Contract Labour was employed				
5	Total no. of man-				
6	Name of the Man				
7	Address House N	o./Flat No.	Street/Plot No.		
	District	State	Pin Code		
	E-mail ID	Telephone no	Mobile no		

D. Working hours and weekly rest day:

.*

1	Number of days worked during the year	
2	Number of mandays worked during the year	
3	Daily hours of work	
4	Weekly day of rest	

E. Maximum no. of persons employed in any day during the years:

Sl.no.	Males	Females	Adolescents (between the age of 14 to 18 yrs)	Total

F. Wage rate (Category Wise):

Category	Rates of Wages	No. of Workers							
-		Regula	<u></u>			Contra	act		
		Male	Female	Children	Adolescent	Male	Female	Children	Adolescent
Highly	-						<u> </u>		
Skilled					[1	· · · · · · · · · · · · · · · · · · ·	
Skilled	<u> </u>		· · · · · · · · · · · · · · · · · · ·		f		<u> </u>		<u> </u>
Semiskill ed									
Unskilled			<u> </u> −−	<u> </u>	<u> </u>	<u>} </u>	<u>+</u>		 -

G. (a) Details of Payment :

Gross wage	es paid	Deduction	15		Net wages	paid
In cash	In Kind	Fines	Deduction for damage or loss	Others	In cash	In Kind

(b) Number of workers who were granted leave with wages during the year:

<u>Sl. No.</u>	During the year	Number of workers	Granted leave with Wages

H. Details of various welfare amenities provided under the statutory schemes:

Sl. No.	Nature of various welfare amenities provided	Statutory (specify the statute)

I. Maternity Benefit under the Code on Social Security,2020:

a. Details of establishment, medical and para-medical staff:

01	Date of opening of establishment	
02	Date of closing, if closed	
03	Name of Medical Officer	
03.i.	Qualification of Medical Officer	
03.ii.	Is Medical Officer at (the mines or circus)?	
03.iii	If a part time, how often does he/ she pay visit to establishment?	
03.iv	Is there any hospital?	
03v	If so, how many beds are provided?	
03.vi	Is there a lady doctor?	
03.vii	If so, what is her qualification?	
03.viii	Is there a qualified mid-wife?	
03.ix	Has any crèche been provided?	

b. Leave Granted under the Code on Social Security,2020

1	Total no. of female employees in the establishment	
2	Total no. days of leave granted	
3	No. of employees granted maternity leave/benefited by ESI	

DECLARATION

It is to certify that the above information is true and correct and also I certify that I have complied with the all provisions of Labour Laws applicable to my establishment.

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Date

Sign Here

Form-XVI (See rule 34) Application for Compounding of Offence

То

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The Authorized Officer,.....

Subject: Compounding of offence under section 138(1) of the Code on Social Security, 2020 (No 36 of 2020)

Sir,

I have accepted the offence charged against me under the Memorandum of Inspection dated...... of which a copy is enclosed herewith. Therefore, you are, hereby, requested that the said offence be compounded under section 138(1) of the Code on Social Security, 2020(No. 36 of 2020).

Yours Faithfully,

(Name of applicant)

Copy to:

The Inspector-um-Facilitator concerned.

Form-XVII (See clause (b) of sub-rule 7 of rule 35 Report of vacancies in any employment in the establishment. (separate forms to be used for each type of posts)

1	Particulars of the employer:		
	Name		
1	Address with pin code		
1	Telephone No.		
	Mobile No.		
ł	Email address		
	Name & Type of Establishment		
1	(Central Government, State Government, PSU,		
	Autonomous, Private, etc.)		
	Registration of Establishment under Code		
	Economic activity details		
2	Particulars of the Indenting Officer:		
	Name		
	Designation		,
	Telephone No.		
	Mobile No.		
	Email address		
3	Particulars of Vacancy(ies)		<u></u>
	Designation/nomenclature of the vacancy(ies) to be filled		
	Description of duties of the post (job role/functional role)		
	Qualifications/Skills required (educational,	Essential	Desirable/
	technical, experience)	-ر	Preferable
	i. Educational Qualifications		
1	il. Technical Qualifications		
	iii. Skills		
	iv. Experience		
	d. Age Limits, if any		
1	(age as on last date application)		
ļ	e. Preferences (such as Ex-Servicemen, persons		
	with disabilities, women, etc.) if any		
	f. duration of employment	No. of posts	
	i. 3-6 months		
	ii. 6-12 months		
	iii. 12 months and more		

4	Whether there is any obligation for arran category of person such as Scheduled Cast Sections (EWS). Other Backward Classes (C (pwd), etc. in filling up the vacancies: Yes/	e(SC), Scheduled Tribe DBC). Ex-servicemen an	s(ST), Economically Weaker	
	Category	No. of vacancies to be filled		
		Total	*By Priority Candidates *(Applicable for Central Govt. Vacancies)	
5	a. Scheduled Caste b. Scheduled Tribes c. OBC d. EWS c. Ex-Servicemen d. Persons with disabilities(pwd) g. women h. Others(specify)			

6	Pay and Allowances:	
	For Government vacancies: Mention pay	
	level/pay scale of the post with basic pay/pay	
	per month with other details if any	
	For others: Mention minimum total	
	emoluments per month with other details, if	
	any.	
7	Place of work (Name of the town/village and	
	district, pin code, etc. in which it is situated)	
8	Mode of Application (email, online, in writing,	
	etc.) and Last date for receipt of applications.	
9	Particulars of officer to whom the applications	
	be sent/candidates should approach	
	(Mention Name, designation, email id, address,	
	telephone no. website address in case of	
	online)	
10	Mode of Recruitment	
	(Through Career Centre, Placement Agency, self	
	management, any other mode(specify))	·
11	Would like to prefer submission of list of	Yes/No
	eligible candidates registered with Career	-
	Centre	
12	Any other relevant information	

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Signature, Name & Designation of Authorized Signatory of establishment/ employer with seal & date (For Official Use-to be filled by Career Centre)S

FORM -- XVIII (See sub-rule (11) of rule 35) Yearly Employment Return

Yearly Return to be submitted to the Career Centre (Regional) for the year ended

The following information is required to be submitted under the Code on Social Security (Chapter XIII – Employment Information and Monitoring) 2020.

Name & addres	ss of the Employer						
Whether – Hea		· · · · · · · · · · · · · · · · · · ·					
Branch Office	Branch Office						
Type of Establishment							
(Public/Private	Sector)						
Nature of busir	ness/Principal activity						
Establishment	Registration No. under the Code						
1. (a) EMPLOY							
Total number o	of <i>manpower of establishment</i> including workin	g proprietors/partners /contingent paid					
and contractua	I workers, out-sourced workers excluding part-	time workers and apprentices.(The figures					
	every person whose wage or salary is paid).						
Capacity	On the last working day of the previous Year.	On the last working day of the year					
Capacity	on the last working day of the previous real.	under report.					
MEN							
WOMEN							
Other							
(Transgender)							
TOTAL:							
PWD(persons with disabilities) out of above total							

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(EIR-continued)

	vacancies* occurred and reported to (d during the year Reported		Career Centre durin	g the year and the number of Source (Career Centre	
	Career Centre (Regional)	Career Centre (Central)		/NCS Portal/ Govt. Recruiting Agencies/ Private Placement Organizations/ others	
1	2	3	4	5	

*As per provisions of Code on Social security. 2020 (Chapter XIII) and Rules made there under.

3. MANPOWER SHORTAGES:

Name of the occupation or designation of the post	No. of unfilled vacancies/posts			
	Skill/ qualifications (educational/ technical/ experiences) prescribed	Essential	Desirable	
1	2	3	4	

Vacancies/posts remained unfilled because of shortage of suitable applicants.

(Please list any other occupations also for which this establishment has any difficulty in obtaining suitable applicants recently).

4. Estimated Manpower Requirement by Occupational Classification during the next calendar year (Please give below the number of employees in each occupation separately).

Occupation	No. of employees Please give as far as possible approximate no. of vacancies to each occupation you are likely to fill during the next financial year due to retirement/expansion or re- organization.						
Description	Men	Women	Others (transgender)	Total	PWD (persons with disabilities) out of total		
*	2	3	4	5	6		
Total							

*In the column (description)- Use exact terms such as Engineer (Mechanical), Assistant Director (Metallurgist). Research Officer (Economist); Supervisor (Tailoring), Inspection (Sanitary), Superintendent (Office), Manager (Sales), Manager (Accounts), Executive (Marketing), Data Entry Operator......so on.

Signature, Name & Designation of Authorized Signatory of establishment/ employer with seal & date

То

The Career Centre,

Note: 1. The return is to be rendered to the Career Centre (Regional) within 30 days after the end of the financial year concerned by establishments/employers vide their obligation under the Code on Social Security,2020 (Chapter XIII-Employment Information and Monitoring)

2. The main purpose in obtaining the information from employers is known (i) the vacancies/employment opportunities available; (ii) type of personnel who are in short supply; and (iii) future job opportunities for providing vocational guidance to the jobseekers and connecting them with the employers. This is helpful in ascertaining the skill needs also. Employers too will be able to call on the Career Centres for getting suitable candidates as per their requirements.

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