# **SHOPS & ESTABLISHMENT**

- Form-"A" ..... Application Form for Registration under Shops & Establishment Act.
- Form-"O"..... Close Day Form

# FORM "A"

01.	Name of the Establish (in block letters)		5		
02.	Postal Address Locati	on of the	e Establishme	nt	
03.	Situation of Office, St Ware House or work p to the establishment of different from those of	blace if a but situa	any attached ated premises		
04.	Category of the Estab (a) Shop (b) Commerc (c) Residential Hotel, (d) Theater or other p	ial Estal Restaura	olishment ant or Eating I		shment
S.No.	Name & Parentage	Desig	nation	Permanent Address	Name of Interest whether Partner/Member/Director Share Holder.
	Name of Rusiness				
05.	Name of Business				
06.	Name, Designation ar of Employer (manager person) who is in the the general managem	r, agent immedia	or any other ate chare of		
07.	Particulars of membe in Establishment as o <b>Name</b> 1. 2. 3.			Relat	ion with Employer
08.	Name of other person of management of em confidential capacity <b>Name of Employee</b> Adults Children Total	ployees if any.		Female	Total
09)	Name of day of the we holiday will be observ and Commercial Esta	ed (in ca	ase of Shops		
10.	Details of remittance Name of Challan			an 2 Dated Amou	nt

# FORM "O"

[See Rule (20) 4]

## NOTICE OF CLOSE DAY OR AN ALTERATION IN CLOSED DAY

1. Name of the Shop or Establishment .....

2. Address .....

Registration No.

	Notice	is	hereby	given	that	with	effect	from	 				the	e above
Shop/	'Establis	shm	ient sha	II (i) c	observe	9			 as	the	close	day	(ii)	observe
			as	s the cl	ose da	y inste	ead of .		 		as prev	viousl	y no	tified.

Signature of Employer

Copy forwarded to the inspector of Shops/Establishments ...... for information.

Dated .....

Signature of Employer

Signature of Inspector With Office Stamp.

# **TRADE UNION FORMS**

- Check List for Registration under Trade Union Act.
- Form "A"..... Application for Registration of Trade Union.
- Form "D".... Annual Return.

## CHECK LIST FOR REGISTRATION OF TRADE UNION

	ITEMS	Yes	No
01	Application on prescribed Form "A"		
02	Constitution of Union (2 Copies)		
S.No.	Matter		
а	Name of Union		
b	The whole of the objects for which the Union has been established		
С	The maintenance of a list of members		
d	The facilities provided for the inspection of the list of members by officers and members		
е	The admission of ordinary members		
f	The admission of honorary or temporary members		
g	The conditions under which the members are entitled to benefits assured by the rules		
h	The conditions under which forfeitures can be imposed or raised		
i	The manner in which the rates shall be amended or rescinded		
j	The manner in which the members of the executive and other officers of the Union shall be appointed and removed		
k	The safe custody of the funds		
I	The annual audit of the accounts		
m	The facilities for the inspection of accounts books by officers and members		
n	The manner on which the Union may be dissolved		
03	Resolution of General Body (2 Copies)		

04	List of members (2 Copies)				
S.No.	Name/Parentage	Address	Occupation	Age	Signature

05	Bank Account of Union	
06	Non-involvement certificate of members	
07	Copy of Identity Cards of members	
08	Photographs/Address/Telephone No's of Executive	
	Body	
09	Inventory of assets and liabilities of Union	
10	Office of Union (Photographs)	
11	Try. Vr. For Rs: 500/0 as Registration Fee towards	
	Account Head No.0230 Labour & Employment	
12	Rubber Stamp of President/Secretary/Cashier	
13	Cash Book, Minute Book, Membership Register	
14	On spot verification report along with	
	recommendation for registration	
15	Certificate by the verifying the officer to the effect that	
	no other identical union exists in the areas	

### FORM-"A"

# APPLICATION FOR REGISTRATION OF 'TRADE UNION

Dated \_\_\_\_\_\_ day of \_\_\_\_\_200..

We hereby	apply for the regist	ration of Trade Uni	on under the name of		
and the second					
The addres	s of the Head Office	of the Union is-		second allow which prove the second second	
The Union	came into existence	on the			3
The Union	is a Union of En	aployees/Workers eng	gaged in the industry-		
ê		-(or profession).			
ale given	1m Schedule 1.		the Jammu and Kash		
The partice	ulars given in Schee	ule II show the p	provision made in the priors Act. 2006.	rules for the	matter detailed
III SECTION	() OI LUG JEUMIN CI	In Warmin Treese o	mone meny root.		
(To be st	ruck out in case of	Unions which have n	ot been in existence fo ion 5 (2) of the Jamm	or one year ber	r Trade Union
Act. 2000.	are given in Sche	dulo III.			
We have	been duly authorise	d to make this app	plication by		and some states in some states around a some
				The second se	
3 2443	Name	Signature	Occupation	Age	Address
	and the second division of the second divisio		a anna ann a sua ann ann ann ann ann ann ann ann ann a		and the second s
					- (
			1		
				District of the second	

State here whether the authority was given by a resolution of general meeting of the Union if not wheth other way it was given.

	1110	Name	Age	Address	ecupation
Hervey E. Trans VEED, is the daily and a data we require management					
and Provide An Andrew Provide Andrew Provide Andrew Provide Andrew Provide Andrew Provide Andrew Provide Andrew					
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a sea maarine "Top were entropy to some			and the second		
Balance					
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a series and a series of the ser					
				mont a contraction res	
		in a reality officer of reality of			

of the Executive).

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-1-

#### SCHEDULE 'II'

#### REFERENCE TO RULES

Na .	Matter	Number of rules
	Name of Union.	analasi anasa (1992) ang kana ka
	The whole of the objects for which the Union has been established.	
	The whole of the purpose for which the general funds of the Union shall be applicable.	
ê	The maintenance of a list of members.	
	The facilities provided for the inspection of the list of members by officers and wembers.	
	Ins admission of ordinary members.	
	The acmission of honorary or temporary members.	
	The conditions under which the members are entitled to benefits assured by the rules.	
	The conditions under which forfeitures can be imposed or raised,	
	The manner in which the rules shall be amended or rescinded.	
	The manner in which the members of the executive and other officers of the Union shall be appointed and removed.	
	The sale custody of the funds.	
	the hunnal audit of the accounts.	
	The facilities for the inspection of account books by officers and	
the second s	The manner in which the Union may be dissolved.	

### FORM-"D"

Annual return prescribe	d under section 28	of the Indian Trade Unions Act, 1920	6, for the
			C Martinovas
Name of Union			
Registered Head Office			
Number of certificate of registr	ration — — — — —		
		Number of Unions affiliated at be	ginning of
Return to be made by federate Unions.	ion of Trade	Number of Unions joining during the year Number of Unions disaffiliated at	he
This return need not be made of Trade Unions.	1	year Number of members on books at the of the year Number of members admitted durin (add) together Number of members who left year (deduct) Total number of members on books of the year. Males	ne beginning ng the year during the at the end
A copy of the rules of is appended.	f the Trade Union,	Females	o political
Dated the STATEMENT	OF LIABILITIES A	AND ASSETS ON THE DAY OF	<b>cretary</b> 2001
Liabilities	Rs. P.	Assets	Rs. P.
Amount of general fund Amount of political fund Loans from		Cash In hands of Treasurer In hands of Secretary In hands of In the Bank	
Debts due to Other liabilities ( to be specified )	printed October	In the Bank Securities as per list below Unpaid subscription due loans to Immovable property Goods and furniture Other assets (to be specified)	oman
Total liabilities		Total Assets	
	LIST OF S	ECURITIES	
Particulars	Nominal value	Market value at date on which accounts have been made up	In hands of

Section 2

Treasurer

#### AUDITORS DECLARATION.

The undersigned having had assets to all the books and accounts to the Trade Union and having examined the foregoing statements and verified the same and found to be correct duly vouched and in accordance with the law, subject to the remarks, if any, appended hereto.

		•			
	· a of the detailed				
	add general statute	to serve the definition of			Return of relation by
	the test the subscription of				
ne identity	the stand of the		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		Auditor
11/2	The following cha	nges of the office bea	arers have bee	n made during	
	the year				
37.5					
		Officers Ro	elinquishing (	Office	
Name			Office		Date of relinquishing Officer
					and the second
			17 15 KELT	TAN TA	
				1	· ·
		a series of			
			er Appointed		
Name	Age	Office A	Address	Occupation	Date of appointment
			-		
					. This of the of the
					and the second
					and the second sec

#### GENERAL FUND ACCOUNT

member. Donations Sale of periodicals, rules, etc. Interest on investments Income from miscellaneous sources to be specified). Total POLITICAL Income Rs. P.	Salaries allowances and expenses of officers
POLITICAL	establishmentAuditors feesLegal expensesExpenses in conducting trade disputesCompensation paid to members for loss arising out of trade disputesFuneral, oldage, sickness, unemploy- ment benefit etcEducational social and religious benefitsCost of publishing periodicals, rent, rates and taxesStationery, Printing and PostageLypenses incurred under section 15(1) of the Indian Trade Unions Act, 1926(to be specified) Balance at the end of yearTotal
member. Donations Sale of periodicals, rules, etc. Interest on investments Income from miscellaneous sources (to be specified). Total POLITICAL Income Rs. P.	Legal expenses.   Expenses in conducting trade disputes   Compensation paid to members for loss arising out of trade disputes   Funeral, oldage, sickness, unemploy- ment benefit etc.   Educational social and religious benefits.   Cost of publishing periodicals, rent, rate and taxes.   Stationery, Printing and Postage   Kopenses incurred under section 15(4) of the Indian Trade Unions Act, 1926.   Cote specified) Balance at the end of year
nember. Donations Sale of periodicals, rules, etc. Interest on investments income from miscellaneous sources to be specified). Total POLITICAL Income Rs. P.	<ul> <li>Expenses in conducting trade disputes</li> <li>Compensation paid to members for loss arising out of trade disputes</li> <li>Funeral, oldage, sickness, unemployment benefit etc</li> <li>Educational social and religious benefits</li> <li>Cost of publishing periodicals, rent, rates and taxes</li> <li>Stationery, Printing and Postage</li> <li>Expenses incurred under section 15(1) of the Indian Trade Unions Act, 1926</li> <li>(to be specified)</li> <li>Balance at the end of year</li> <li>Total</li> </ul>
Sale of periodicals, rules, etc. Interest on investments income from miscellaneous sources to be specified). Total POLITICAL Income Rs. P.	Compensation paid to members for loss arising out of trade disputes Funeral, oldage, sickness, unemploy- ment benefit etc Educational social and religious benefits Cost of publishing periodicals, rent, rates and taxes Stationery, Printing and Postage Expenses incurred under section 15(1) of the Indian Trade Unions Act, 1926 (to be specified) Dether expenses (to be specified) Balance at the end of year Total
Sale of periodicals, rules, etc. interest on investments income from miscellaneous sources to be specified). Total POLITICAL Income Rs. P.	loss arising out of trade disputes Funeral, oldage, sickness, unemploy- ment benefit etc Educational social and religious benefits Cost of publishing periodicals, rent, rates and taxes Stationery, Printing and Postage Expenses incurred under section 15(J) of the Indian Trade Unions Act, 1926 (to be specified) Other expenses (te be specified) Balance at the end of year Total
Interest on investments ncome from miscellaneous sources to be specified). Total POLITICAL Income Rs. P.	ment benefit etc Educational social and religious benefits Cost of publishing periodicals, rent, rates and taxes Stationery, Printing and Postage Expenses incurred under section 15(J) of the Indian Trade Unions Act, 1926 (to be specified) Other expenses (te be specified) Balance at the end of year Total
to be specified). Total POLITICAL Income Rs. P.	benefits. Cost of publishing periodicals, rent, rates and taxes. Stationery, Printing and Postage Expenses incurred under section 15(J) of the Indian Trade Unions Act, 1926 (to be specified) Other expenses (te be specified) Balance at the end of year Total
Total POLITICAL Income Rs. P.	rates and taxes. Stationery, Printing and Postage Expenses incurred under section 15(J) of the Indian Trade Unions Act, 1926 (to be specified) Other expenses (to be specified) Balance at the end of year Total
POLITICAL Income Rs. P.	Stationery, Printing and Postage Expenses incurred under section 15(J) of the Indian Trade Unions Act, 1926 (to be specified) Other expenses (te be specified) Balance at the end of year Total
POLITICAL Income Rs. P.	of the Indian Trade Unions Act, 1926 (to be specified) Other expenses (to be specified) Balance at the end of year Total
POLITICAL Income Rs. P.	Other expenses (to be specified) Balance at the end of year Total
POLITICAL Income Rs. P.	(te be specified) Balance at the end of year Total
POLITICAL Income Rs. P.	Balance at the end of year Total
POLITICAL Income Rs. P.	Total
POLITICAL Income Rs. P.	
Insome Rs. P.	FORD ACCOUNT
	. Expenditure Rs.
Salance at beginning of year.	
	Payments made on objects specified in
	section 16 (2) of the Indian Trade Unions Act, 1926.
	(to be specified)
	Expanses of munocompute
Contrbutions from members at	Expenses of managements (to be specified)
per miember.	Balance at the end of year
Total	Total

# **CONTRACT LABOUR ACT**

- Application for Registration of Establishments Employing Contract Labour.
- Form "V-A"..... Application Form for Renewal of Licenses under Contract Labour Act.
- Form-"V"...... Certificate of Principal Employer under Contract Labour Act.

### APPLICATION FOR REGISTRATION OF ESTABLISHMENTS EMPLOYING CONTRACT LABOUR

01)	Name and Location of the Establishment:
02)	Postal Address of the Establishment:
03)	Full Name and Address of the Principal Employee (Furnish Father's Name in the case of individuals):
04)	Full Name & Address of the Manager or person responsible for the supervision & Control of the Establishment:
05)	Nature of work carried on in the establishment:
06)	Particulars of Contractors and Contract Labour:
06)	Particulars of Contractors and Contract Labour:
06)	
06)	<ul><li>a) Name &amp; Address of Contractor:</li><li>b) Nature of work in which Contract Labour</li></ul>
06)	<ul> <li>a) Name &amp; Address of Contractor:</li> <li>b) Nature of work in which Contract Labour is employed or is be employed:</li> <li>c) Maximum No. of Contract Labour to be employed</li> </ul>

I hereby declare that the particulars given above are true to the best of my knowledge and belief.

Principal Employer

Seal & Stamp

Date of Receipt of Application

Office of the Registrating Officer.

# Contract Labour (Regulation & Abolition) Central Rules

# FORM V-A

[See Rule 24(1-A)]

Application for Adjustment of Security Deposit

Name & Address of the Contractor	No. & date of License	Date of Expiry of Previous License
1	2	3
Whether the License of the Contractor was suspended or revoked	No. & date of the demand draft of security deposit in respective of the previous license	Amount of previous security deposit
4	5	6
No. & date of the demand draft of the balance security deposit, if any	No. & date of certificate of registration of the establishment in relation to which the new license is applied for	Name & Address of the Principal Employer
7	8	9
Particulars of fresh contract	Remarks	
10	1	1

# FORM V See Rule 21(2)

## Form of Certificate by Principal Employer

Certified that I have engaged the applicant M/S \_\_\_\_\_\_

\_\_\_\_\_\_as a Contractor in my Establishment. I undertake to be bound by all the provisions of the Contract Labour (Regulation & Abolition) Act 1970 and J&K Contract Labour (Regulation & Abolition) Rules 1972 in so far as provisions are applicable to me in respect of employment of Contract Labour by the applicant of Establishment.

Place \_\_\_\_\_

Signature of Principal Employer

Dated \_\_\_\_\_

Name & Address of Establishment

# **MOTOR TRANSPORT WORKERS ACT**

 Form No.1... Application for Registration of Renewal of Certificate under Motor Transport Workers Act.

## FORM NO: 1 (See Rules 4 and 6)

Application for Registration and Grand of Renewal of Certificate of Registration

01.	Name of Motor Transport undertaking
02.	Full Address to which communication relating to Motor Transport Undertaking should be sent
03.	Name of Motor Transport Service e.g, City Service, Long Distance Passenger Service, Long Distance Freight Service
04.	Total Number of Routes
05.	Total Route/Mileage
06.	Total Number of Motor Transport Vehicles on the last date of preceeding year
07.	Maximum Number of Motor Transport Workers Employed on any day during the preceeding year
08.	Full Name and Residential Address of the
	i) Proprietor and partners of the motor transport undertaking in case of a firm not registered under the Companies Act, 1956 or
	ii) General Manager in case of a Public Sector undertaking
09.	Full Name and Residential Addresses of the Directors in case of a Company Registered under the Companies Act, 1956
10.	Amount of Fee Rs: (Rupees
	) Paid in Treasury on
	vide Challan No(enclosed).

Note:- This Form should be completed in block letters or type.

# **FACTORY ACT**

- Check List for Registration under Factory Act.
- Form-"2&3".....Application for Registration and Grant or Renewal of License.
- Form No.1..... Application for Permission to Construction extend or take into use any Building as a Factory.
- Form-No.4.....Notice of Change of Manager.

# Check List

## 1. Licensing and Registration under Factories Act.1948.

- 2. Application on prescribed Form No.1
- 3. Form No. 2&3 (combined) 3 copies every years
- 4. Pass port size photograph 2 Nos. of the Occupier/authorised signatory
- 5. Copy of Jamabandi/Misal Haquiyat/Tatima of the site on which the factory is situated. If the factory is situated in rented premises, copy of rent deed may be submitted. In case of factory situated in industrial area, copy of allotment letter regarding plot may be submitted. If the factory is situated in leased premises, copy of leased deed may be submitted.
- 6. Copy of approval from Department of Industries. (DIC Registration)
- 7. Copy of Power sanction certificate issued by the PDD.
- 8. List of Machinery.
- 9. Flow chart of Manufacturing process
- 10. Project report of the factory.
- 11. No Objection Certificate from J&K State Pollution Control Board.
- 12. Govt. Receipt (Registration fees) for the factory Vide letter No:- LC/Acctt./GR/2014/64-92 dated,4/06/2015.
- 13. Site plan of the factory, Building including elevations, Plans & cross sections and all the Drawing are required to be signed by the occupier in triplicate as per sub Rules 3(b).
- 14. Resolution passed by Board of Directors in which one of the Directors has been declared as occupier OR resolution passed by partners in case of firm in which one of the partners has been declared as occupier OR declaration of proprietor in case of proprietorship concern on affidavit duly attested either by Magistrate or by Notary
- 15. List of Directors/partners (parentage and complete residential addresses of Directors/Partners may be clearly mentioned).
- 16. Memorandum and Articles of Association in case of company.
- 17. Legible copy of partnership deed duly attested either by Magistrate or by Notary in case of partnership concern.
- 18. All the documents are required to be signed by the Occupier.
- 19. Copy of drug licence issued by Drug Controller, in case of Pharmaceutical unit.
- 20. Copy of license from Excise Deptt. In case of Alcohols unit.

## 1. Renewal o factory license

- 1. Form No. 2&3 (combined) triplicate copies every years
- 2. Power sanction Certificate from PDD.
- 3. Pollution Control Certificate from Pollution Control Deptt.
- 4. Govt. Receipt (Renewal fees) for the factory License Vide letter No:- LC/Acctt./GR/2014/64-92 dated,4/06/2015.

### Form 2&3 (Combined)

(See Rules 4 & 12)

#### Application for registration and grant or renewal of Licence for the year \_\_\_\_\_

specified in sections 6&7 (to be submitted in triplicate)

- 1. Full name of the factory with licence number if already registered from before.
- 2. (a) Full postal address and situation of the Factory
  - (b) Full address to which communication Relating to the factory should be sent.
- 3. (a) Carried on the factory during the last twelve months in case of factories already in existence).
  - (b) To be carried on in the factory during the next twelve months (in case of all factories).
- 4. Names and value of principal products manufactured During the last 12 months.
- 5. (i) Maximum number of workers proposed to be employed on any one day during the year.
  - (ii) Maximum number of workers employed on Any day during the last 12 months
  - (iii) Number of workers to be ordinarily employed in the factory.
- 6. (i) Nature and total amount of power(H.P)installed of proposed to be in employed.
  - (iii) Maximum amount of power (H.P.) Proposed to be used.
- 7. Full name and residential address of the person who shall be the manager of the factory for the purpose of the Act.
- 8. Full name and residential address of the occupier.
  - (i) The proprietor of the factory case of private from Proprietary concern.
  - (ii) Directors, in case of public limited liability company/firm.
  - (iii) Where a Managing Agents has been appointed the name of managing Agents and Directors thereof.
  - (iv) Shareholders in case of Private Company where no managing agent have been appointed.
- 9. Full name and address of the owner of the premises or building) (including the precincts thereof) referred to in section 93.
- 10. In the case of a factory constructed or extended after the date of the commencement of the Rules.
  - (i) Reference number and date of approval of the plans for site whether for old or new building and or construction of extension of factory by the State Government/Chief Inspector.
  - (ii) Reference number and date of approval of the arrangement if any made for the disposal of trade waste and efflue and the name of the authority granting such approval.
- - (ii) Dated (Enclosed)

\_\_and notice of occupation

#### Note:-

- 1. This form should be completed in ink in block letters or Type.
- 2 If power is not used at the time of filling up this form but is introduced later, the fact should be communicated to the Chief Inspector immediately.
- 3. If any of the persons named against item 8 is minor, the factory should be clearly stated.
- 4. In the case of factory, where under the proviso to subsection (1) and (2) of section 100, a person has been nominated as the occupier, information required in item 8 should be supplied only in respect of that person.
- 5. In the case of factory where a managing agent or agents have been appointed as occupiers the Indian Companies Act. 1923 (VII of 1923) information required in item 8 should be supplied only in respect of that person or persons.

## Form No.1

### (See Rule 3) APPLICATION FOR PERMISSION TO CONSTRUCTION EXTEND OR TAKE INTO USE ANY BUILDING AS A FACTORY

:

:

:

:

:

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:

•

:

:

:

1. Applicant's Name

"Calling

"Address

- 2. Full Name and postal Address Of the factory
- 3. Situation of the factory:-

Province		

District		

- Nearest Railway Station
- Or Steamer Ghat

Town or Village

- 4. Particular of plant to be Installed
- 5. Date of Commission

#### Signature of Applicant

Dated\_\_\_\_\_

Note:-This application shall be accompanied by the following

- Documents:-
- (a) A flow chart of the manufacturing process supplemented by a brief description of the process in its various stages:
- (b) Plans, in triplicate, drawn to scale, showing\_\_\_\_
  - (i) The site of the factory and immediate surrounding including adjacent building, and other structures roads, drains, etc., and
  - (ii) The plan elevation and necessary cross sections of the various buildings, indicating all relevant details relating to natural lighting, ventilation and means of escape in case of fire. The plans shall also clearly indicate the position of the plant and machinery, aisles and passage ways; and
- (c) Such other particulars as the Chief Inspector may require.

# Form No.4 (See Rule 13) NOTICE OF CHANGE OF MANAGER

1.	I. Name of the Factory with Current license No	
2.	2. Postal Address	
3.	<ol> <li>Name of the outgoing manager</li> </ol>	
4.	<ol> <li>Name of the New Manager with Postal Address.</li> </ol>	
5.	5. Date of appointment of New Manager	

Dated:\_\_\_\_\_

Signature of New Manager

Signature of Occupier.