

Attachment 9 to RFP

GENERAL INFORMATION AND FINANCIAL SOUNDNESS FORM

Project Title: Tyee Lake Third Turbine Construction Project

Owner: Southeast Alaska Power Agency (SEAPA)

INSTRUCTIONS TO PROPOSERS

Proposers must complete all sections of this form. Do not alter the formatting or delete requested fields. If a question is not applicable to your business structure, note "N/A" and provide a brief written explanation. Append all requested supporting financial documentation directly to the back of this form, clearly indexed by section.

SECTION 1: PROPOSER GENERAL INFORMATION

1.1 Legal Corporate Identity

- **Legal Name of Business Organization:**

- **Doing Business As (DBA), if applicable:**

- **Primary Business Street Address:**

- **City, State, Zip Code:**

1.2 Point of Contact for RFP Communications

- **Designated Contact Name & Title:**

- **Direct Phone Number:** _____ **Email Address:**

1.3 Legal Structure & Registration

- **Type of Organization (Check One):**

- ☐ Corporation

- Limited Liability Company (LLC)
 - Partnership / General Partnership
 - Joint Venture (JV) (*Attach executing agreement*)
 - Sole Proprietorship
 - **State of Incorporation / Organization:** _____ **Date of Organization:**

 - **Alaska Business License Number:** _____ (*Must be active prior to contract award*)
 - **Alaska Contractor License Number & Class:** _____ Class:
_____ (*Must be active prior to contract award*)
 - **Federal Employer Identification Number (EIN):**

 - **Unique Entity ID (SAM.gov UEI):**

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SECTION 2: LITIGATION, REGULATORY, AND COMPLIANCE HISTORY

If you answer "Yes" to any question in this section, attach a detailed explanation including case names, jurisdictions, dates, current status, and resolution details.

- **2.1** Has your organization, a parent entity, or any principal officer filed for bankruptcy, reorganization, or receivership within the past seven (7) years?
[] YES [] NO
- **2.2** Are there any pending lawsuits, claims, or arbitration proceedings filed against your organization that exceed 5% of your company's current net worth?
[] YES [] NO
- **2.3** Has your organization been terminated for cause, or defaulted on any public or private construction contract within the past five (5) years?
[] YES [] NO
- **2.4** Within the past five (5) years, has your organization been assessed liquidated damages on a construction project that exceeded fifty thousand dollars (\$50,000)?
[] YES [] NO

- **2.5** Has your organization or any principal officer been debarred, suspended, or proposed for debarment by any federal, state, or local government agency?
☐ **YES** ☐ **NO**

SECTION 3: FINANCIAL SOUNDNESS AND CAPACITY STATEMENT

3.1 Narrative Requirement

Describe your company's financial soundness specifically for the purposes of managing and executing SEAPA's **Tyee Lake Third Turbine Construction Project**.

Your narrative must demonstrate that your organization possesses the working capital, cash flow stability, and corporate administrative infrastructure necessary to successfully manage a remote, complex industrial hydro-generation build without causing project delays.

Furthermore, because this project relies in part on federal funding mechanisms, your narrative must explicitly address your company's capability to track, audit, and satisfy documentation requirements associated with **Inflation Reduction Act (IRA) tax credits**. This includes, but is not limited to, compliance with **Prevailing Wage and Apprenticeship (PWA)** standards and federal **Domestic Content** tracking.

Proposer Response Statement: *(Attach separate sheet if additional space is required)*

[Remainder of page intentionally left blank.]

SECTION 4: SIGNATURE AND CERTIFICATION

By signing below, the authorized officer certifies that all information provided in this General Information and Financial Soundness Form—and all appended supporting documentation—is true, accurate, and a complete representation of the organization's current operational and financial standing.

The signatory acknowledges that any intentional misrepresentation or omission of material facts may result in the immediate disqualification of the proposal or subsequent termination of any contract awarded by SEAPA.

- **Authorized Representative Printed Name:**

- **Authorized Representative Title:**

- **Authorized Signature:** _____ **Date:** _____