

REGULATORY

# Risk Overview

## Top risks by severity

Government Investigations (fraud and abuse)
Payor Audits
Americans with Disabilities Act Violations
HIPAA Investigations

## Top risks by frequency

	<i>% of total claims</i>
Payor Audit	47%
Government Investigations (fraud and abuse)	44%
Americans with Disability Act Violations	4%
HIPAA Investigations	4%

## What is causing the high frequency payor audits?

	<i>% of total</i>
Insufficient Documentation Supporting Claims	38%
Billing for Medically Unnecessary Procedures	32%
Upcoding	18%

## What is causing the high severity government investigations?

	<i>% of total</i>
Inappropriate Referral Relationship with Third-Party Vendor in Violation of the Antikickback Statute	37%
Financial (ownership or compensation) Relationship with Related Entity in Violation of the Stark Law	19%
Utilizing Non-Licensed Pharmaceutical Whole Drug Distributors	16%

### ABOUT THE INSTITUTE AT MAGMUTUAL®

Staffed by medical, analytical, legal, clinical, risk management and safety experts, The Institute at MagMutual gives PolicyOwners unmatched support, education and guidance to help them reduce risk in the practice of medicine. In addition to hundreds of resources including articles, courses, assessments and toolkits, The Institute's medical faculty offers policyholders a suite of reports that focus on the main causes of claims and provide strategies to help healthcare professionals avoid litigation and improve patient outcomes.