

FACTS

What does ThomasLloyd Climate Solutions LLC ("TCS LLC") Do with your Personal Information?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number from individuals and tax identification numbers from natural and legal persons
- Transaction history and Risk tolerance
- Account information and assets

How?

All financial companies need to share customer' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer' personal information; the reasons TCS LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TCS LLC share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes — information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes — information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For non-affiliates to market to you	No	We don't share

Questions?

Call +41 / 4 42 13 - 67 67

Who we are

Who is providing this notice?	TCS LLC
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What we do

How does TCS LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does TCS LLC collect my personal information?	We collect your personal information, for example, when you ▪ provide information ▪ enter into an investment advisory contract
Why can't I limit all sharing?	Federal law gives you the right to limit only ▪ sharing for affiliates' everyday business purposes — information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. TCS LLCs other affiliates include: ▪ ThomasLloyd Climate Solutions AG ▪ ThomasLloyd Climate Solutions GmbH ▪ ThomasLloyd Climate Solutions Ltd ▪ ThomasLloyd Climate Solutions PTE Ltd
Nonaffiliates	Companies not related by common ownership or control. They can be financial and non-financial companies.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Other Important Information

Customers from the EU can find the GDPR related privacy notice on the website of our affiliate ThomasLloyd Climate Solutions GmbH: <https://thomas-lloyd.com/privacy>