

**Ultimate beneficial owner declaration**

Declaration for purposes of Anti - Money laundering act no. 253/2008 Coll.,

**SECTION 1 – CONTACT DETAILS AND STATUTORY BODY**

1.1 Contact details	
Full legal name:	WOOD & Company Financial Services, a.s.
Reg. number:	26503808
Full address of registered office:	Street details: náměstí Republiky 1079/1a
	Suburb: Praha 1 – Nové Město
	Postal/ZIP code: 110 00
	Country: Czech Republic
Full mailing address (if different to above):	Street details:
	Suburb:
	Postal/ZIP code:
	Country:
Date of incorporation/establishment:	February 1, 2002
Phone (Main):	+420 222096111
Email:	compliance@wood.cz
Web:	<a href="http://www.wood.com">www.wood.com</a>
Number of branches	5
Countries in which Branches operate	Ireland, Italy, Slovakia, Poland, Romania

1.2 Statutory body is legal entity	
Please copy the table if there is more than one member of the statutory body	
Full legal name:	
Reg. number:	
Full address of registered office:	Street details:
	Suburb:
	Postal/ZIP code:
	Country:

**1.3 Members of Statutory body**

Please copy the table if there is more than one member of the statutory body

Full name:	Vladimír Jaroš
Function:	Chairman of the Board of Directors
Date of birth:	February 23, 1969
Place of birth:	Kladno (CZE)
Sex	Male
Residence:	Street details: Nad lomem 1189/33
	Suburb: Praha 4- Braník
	Postal/ZIP code: 147 00
	Country: Czech Republic
Citizenship:	Czech Republic
Type of identity document:	Passport
Number of identity document:	48469477
State and Authority issued the identity document:	Prague 1 Municipal District Office
Validity of the identity document:	August 28, 2033

**1.3 Members of Statutory body**

Please copy the table if there is more than one member of the statutory body

Full name:	Petr Musil
Function:	Vice-Chairman of the Board of Directors
Date of birth:	October 24, 1973
Place of birth:	Zábřeh (CZE)
Sex	Male
Residence:	Street details: Brusinková 1977
	Suburb: Černošice, Praha – Západ
	Postal/ZIP code: 252 28
	Country: Czech Republic
Citizenship:	Czech Republic
Type of identity document:	National ID card
Number of identity document:	208514583
State and Authority issued the identity document:	The Municipal Office of Černošice, Czech Republic
Validity of the identity document:	August 14, 2027

**1.3 Members of Statutory body**

Please copy the table if there is more than one member of the statutory body

Full name:	Petr Beneš
Function:	Member of the Board of Directors
Date of birth:	November 27, 1974
Place of birth:	Praha 2 (CZE)
Sex	Male
Residence:	Street details: Karla Kryla 2884/8
	Suburb: Praha 5 - Stodůlky
	Postal/ZIP code: 155 00
	Country: Czech Republic
Citizenship:	Czech Republic
Type of identity document:	National ID card
Number of identity document:	209049267
State and Authority issued the identity document:	Prague 13 Municipal District Office, Czech Republic
Validity of the identity document:	August 28, 2033

**1.3 Members of Statutory body**

Please copy the table if there is more than one member of the statutory body

Full name:	Ondřej Jedlička
Function:	Member of the Board of Directors
Date of birth:	November 16, 1982
Place of birth:	Opočno (CZE)
Sex	Male
Residence:	Street details: Slunečná 932
	Suburb: Hovorčovice, Praha – Východ
	Postal/ZIP code: 250 64
	Country: Czech Republic
Citizenship:	Czech Republic
Type of identity document:	National ID card
Number of identity document:	212069307
State and Authority issued the identity document:	Prague 18 Municipal District Office
Validity of the identity document:	July 16, 2030

**1.3 Members of Statutory body**

Please copy the table if there is more than one member of the statutory body

Full name:	Jan Teplý
Function:	Member of the Board of Directors
Date of birth:	July 02, 1990
Place of birth:	Karlovy Vary (CZE)
Sex	Male
Residence:	Street details: Nekonečného 3185/2
	Suburb: Praha 5 - Stodůlky
	Postal/ZIP code: 155 00
	Country: Czech Republic
Citizenship:	Czech Republic
Type of identity document:	National ID card
Number of identity document:	219268252
State and Authority issued the identity document:	Prague 13 Municipal District Office
Validity of the identity document:	September 12, 2035

**1.3 Members of Statutory body**

Please copy the table if there is more than one member of the statutory body

Full name:	Zuzana Mora
Function:	Member of the Board of Directors
Date of birth:	June 07, 1984
Place of birth:	Košice II (SVK)
Sex	Female
Residence:	Street details: Kozí 803/12
	Suburb: Praha 1 - Staré Město
	Postal/ZIP code: 110 00
	Country: Czech Republic
Citizenship:	Slovak Republic
Type of identity document:	National ID card
Number of identity document:	NN281289
State and Authority issued the identity document:	The Municipal Office of Košice, Slovak Republic
Validity of the identity document:	August 07, 2034

**1.4 Individual acting on client's behalf in this transaction (person signing the contract)**

Please copy the table if more persons act in the transaction

Full name:	Petr Musil
Function:	Vice-Chairman of the Board of Directors, Chief Operations Officer
Date of birth:	October 24, 1973
Place of birth:	Zábřeh (CZE)
Sex	Male
Permanent or other residency adress:	Street details: Brusinková 1977
	Suburb: Černošice, Praha – Západ
	Postal/ZIP code:
	Country: Czech Republic
Citizenship:	Czech Republic
Type of identity document:	National ID card
Number of identity document:	208524583
State and Authority issued the identity document:	The Municipal Office of Černošice, Czech Republic
Validity of the identity document:	August 14, 2027
Representation by statutory body:	As Vice-Chairman of the Board of Directors can act independently in all matters
Representation on the basis of a power of attorney:	

## SECTION 2 – OWNERSHIP & MANAGEMENT INFORMATION

2.1 Controlling <sup>1</sup> entity of client				
Please copy the table if more persons act in the transaction				
Full legal name:	WOOD & Company Group S.A.			
Reg. number:	B83396			
Full address of registered office:	Street details: Boulevard F.W.Raiffeisen 17			
	Suburb: Luxembourg			
	Postal/ZIP code: L-2411			
	Country: Luxembourg			
Members of Statutory Body	Date of birth	Place of birth	Residence	Citizenship
Vladimír Jaroš	02/23/1969	Kladno (CZE)	Nad lomem 1189/33 147 00, Praha 4 - Braník Czech Republic	Czech Republic
Jan Sýkora	01/18/1972	Praha 8 (CZE)	V kolovně 919/4 110 00 Praha 1 – Staré Město, Czech Republic	Czech Republic
Ľubomír Šoltýs	11/01/1972	Košice (SVK)	Starorímská 1360/14 851 10, Bratislava Slovak Republic	Slovak Republic

**2.2.** Please provide full name, date of birth, permanent residence, citizenship, ownership interest and nature of ownership of any ultimate beneficial owners who maintain more than a 25% share, or who control a 25% or more share, of your institution

For purposes of this question, an "owner" is any person or legal entity that, directly or indirectly, owns, controls or has voting power of 25% or more of share or any class of securities of your institution.

Name, Date of birth, Residence, Citizenship	Ownership interest (percentage)	Nature of ownership
Vladimír Jaroš; DoB: 02/23/1969; Nad Iomem 1189/33 147 00, Praha 4 - Braník, CZE; Citizenship: CZE	32.04%	Indirect ownership
Jan Sýkora; DoB: 01/18/1969; V kolkovně 919/4, 110 00 Praha 1 – Staré Město, CZE; Citizenship: CZE	32.04%	Indirect ownership
Ľubomír Šoltýs; DoB: 11/01/1972; Starorímská 1360/14 851 10, Bratislava, SVK; Citizenship: SVK	32.04%	Indirect ownership

If any of the owners is a legal entity, please, list the names of the shareholders of the legal entity, and their ownership interest in the legal entity.

Entity	Shareholders	Ownership interest (percentage)	Nature of ownership
WOOD & Company Group S.A.	<b>Maidford Finance S.A., 32.04%</b> (Vladimír Jaroš, 100 %) <b>Renaissance Finance S.A., 32.04%</b> (Jan Sýkora, 100 %) <b>BURTON &amp; SIMPSON Ltd., 32.04%</b> (Ľubomír Šoltýs, 100 %)	100%	Direct ownership

**2.3** Please provide your top level management structure and attach full name and position of your institution's directors and chief executive officer(s) or equivalent (especially Board of Directors, e.g. CEO,CFO).

Please attach additional rows if necessary.

NAME	POSITION
Vladimír Jaroš	Chief Executive Officer
Petr Musil	Chief Operations Officer
Petr Beneš	Wealth Management Director
Ondřej Jedlička	Chief Financial Officer
Jan Teplý	Head of Regulatory Affairs
Zuzana Mora	Co-Head of Equities
	Yes – Please state the name and function below

**2.4** Do you have any Politically Exposed Persons<sup>ii</sup> in the management?

**No**

## 2.5 Content of declaration

- 2.5.1** The **signee** hereby declares that he is the person authorized to act on behalf of the legal entity and that he is aware of all the information he needs to know in order to make this declaration.
- 2.5.2** The **signee** hereby declares that he has indicated all countries of origin<sup>iii</sup> for all the above-mentioned natural and legal persons.
- 2.5.3** The **signee** is aware that all the above information in the questionnaire/declaration is used by J&T INVESTIČNÍ SPOLEČNOSTI, a.s. to identify and control the client pursuant to Act No. 253/2008 Coll., on Selected Measures against Legitimation of Proceeds of Crime and Financing of Terrorism, as amended, and that if he does not answer any of the questions or answers to any of the questions incorrectly or incompletely, J&T INVESTIČNÍ SPOLEČNOST, a.s. is entitled to refuse to carry out a transaction with the client or to enter into a business relationship.
- 2.5.4** The **signee** confirms that the information provided in the declaration is true and complete. The Client is aware that he is liable for damage caused by incorrect or incomplete provision of data to J&T INVESTIČNÍ SPOLEČNOSTI, a.s. including liability for damage caused by a breach of the obligation to inform about a change in the facts, which are the content of the provided declaration in this document.
- 2.5.5** The **signee** confirms that the client has been informed that J&T INVESTIČNÍ SPOLEČNOST, a.s. is obliged to process the above data due to the fulfillment of legal obligations pursuant to Act No. 110/2019 Coll., on the processing of personal data and Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC. Information on the processing of personal data and related rights is published on the J&T INVESTIČNÍ SPOLEČNOST, a.s. website <https://www.itis.cz/poradna/dokumenty/ochrana-osobnich-udaju>.

J&T INVESTIČNÍ SPOLEČNOST, a.s. undertakes not to use any personal data obtained from this declaration for other purposes, in particular for marketing or other commercial purposes, and not to pass them on to persons other than those published on the J&T INVESTIČNÍ SPOLEČNOST, a.s. website <https://www.itis.cz/dokumenty/informace-o-zpracovani-osobnich-udaju.pdf> always while maintaining the conditions of personal data protection in accordance with applicable legislation.

J&T INVESTIČNÍ SPOLEČNOST, a.s. is entitled to process personal data of ultimate beneficial owners without their consent, but in order to fulfill the obligation to inform the data subject, J&T INVESTIČNÍ SPOLEČNOST, a.s. asks the Client to communicate the electronic address to the ultimate beneficial owners listed above in this document. In the event that the electronic address is not provided by the Client, J&T INVESTIČNÍ SPOLEČNOST, a.s. requests the Client to ensure the information obligation on its behalf, because otherwise it is not able to meet its obligations. The person signing this declaration agrees to this signature.

Email 1:	<input type="text"/>
Email 2:	<input type="text"/>
Email 3:	<input type="text"/>



**2.5.6 The signee confirms with his signature that he is aware of the definition of beneficial owner.**

**2.5.7 The signee confirms that he read this declaration, that he filled in its contents in accordance with his best knowledge and that he has been provided with explanation of all questions by J&T INVESTIČNÍ SPOLEČNOST, a.s.**

**Petr Musil**  
místopředseda představenstva /  
Vice-Chairman of the Board of Directors  
WOOD & Company Financial Services, a.s.

Signature:

Name: Petr Musil

Function: Vice-Chairman of the Board of Directors

In	Prague	dated
		
Signature:		
Name: Petr Musil		
Function: Vice-Chairman of the Board of Directors		

**i Controlling and controlled entities**

§74 act no. 90/2012 Coll., Business Corporations Act

(1) A controlling entity is an entity, which can directly or indirectly exercise decisive influence on a business corporation. A controlled entity is a business corporation controlled by a controlling entity.

(2) Where the controlling entity is a business corporation, it shall be the parent business corporation. Where the controlled entity is a business corporation, it shall be a subsidiary business corporation.

(3) A dominant entity pursuant to Section 79 and a majority member shall always be controlling entities, unless stipulated otherwise in Section 75 in relation to the majority member. A dependent entity pursuant to Section 79 shall always be a controlled entity.

Section 75

(1) A controlling entity shall be deemed to be the person who can appoint or recall the majority of the persons that are members of the statutory body of the business corporation or persons in a similar position, and members of the supervisory body of the business corporation of which it is a member, or who is able to enforce such appointment or recall.

(2) A person shall be deemed to be a controlled entity, if he or she controls a share in the voting rights representing at least 40% of all votes in the business corporation, unless other person or persons acting in concert control the same or a higher share.

(3) Persons acting in concert, who jointly control a share in the voting rights representing at least 40% of all votes in the business corporation, shall be deemed to be controlling entities, unless other person or persons acting in concert control the same or a higher share.

(4) A controlling entity or controlling entities shall be deemed also include a person who, individually or jointly with persons acting in concert, acquires a share in the voting rights representing at least 30% of all votes in the business corporation, where such share represented more than half of the voting rights of the participants attending the past 3 consecutive meetings of the supreme body of such business corporation

**ii a politically exposed person shall mean:**

a) a natural person in a prominent public position and with nation-wide responsibilities, such as a head of state, a head of government, a minister and deputy or assistant minister, a member of the parliament, a member of a supreme court, a constitutional court or another high-level judicial body, decisions of which are not subject to further appeal, except in exceptional circumstances, a member of a court of auditors or a central bank board, a high-ranking military officer, a member of an administrative, supervisory, or management board of a state-owned business, an ambassador or chargé d'affaires, or a natural person, having similar responsibilities on a Community or international level; all the above for the entire period of the position and for one year after the termination of such position, and provided the person:

1. has residence outside the territory of the Czech Republic, or
2. holds such important public position outside the Czech Republic,

b) a natural person, who:

1. is the spouse, partner equivalent to the spouse or a parent of the person under letter a),
2. is a son or a daughter of the person under letter a) or a spouse or a partner of such son or daughter (a son or daughter in law),
3. is a business partner or a beneficial owner of the same legal person, a trust, or any other business entity under a foreign law, as the person under letter a) or is known to the obliged entity as a person in a close business relationship with a person under letter a), or 16) Section 20(f) et seq., Civil Code. Act No. 83/1990 Coll., on Public associations, as amended.
4. is a business partner or a beneficial owner of the same legal person, a trust, or any other business entity under a foreign law known to have been established in benefit of a person under letter a).

**iii country of origin for**

1. a natural person means each country of which such person is a national, and concurrently all other countries in which such person has a registered residence for over 1 year, or a permanent residence, if known to the institution,
2. a legal person subject to obligations pursuant to Article 24a of the Act or equivalent obligations pursuant to the legislation of another country, the country in which it has its registered office,
3. a legal person not subject to obligations pursuant to Article 24a of the Act or equivalent obligations pursuant to the legislation of another country, the country in which it has its registered office, and concurrently all countries in which it has a branch

