

# Decision and Management Algorithms to Address Patient and Food and Drug Administration Concerns Regarding Breast Augmentation and Implants

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During the U.S. Food and Drug Administration's advisory panel hearings to evaluate the premarket approval for conventional silicone gel implants on October 14 and 15, 2003, panel members and patient advocate representatives focused on four specific areas of concern: reoperation rates in primary breast augmentation; levels, depth, and methods of patient education and informed consent; modes, frequency, and management of silicone gel implant device failures, including management of "silent" ruptures; and methods of monitoring and managing symptoms or symptom complexes that may or may not be associated with connective tissue disease or other undefined symptom complexes. These concerns, with a reported 20 percent reoperation rate for primary augmentation within just 3 years, and a lack of concise, definitive management protocols addressing these areas of concern may have contributed to the Food and Drug Administration's rejection of the premarket approval, despite the panel's recommendation for approval. This article presents decision and management algorithms that have been used successfully for 7 years in a busy breast augmentation practice (Tebbetts and Tebbetts). The algorithms have been further expanded and refined by a group of surgeons with diverse experiences and expertise to address the following clinical situations that coincide with concerns expressed by patients and the Food and Drug Administration: implant size exchange, grade III to IV capsular contracture, infection, stretch deformities (implant bottoming or displacement), silent rupture of gel implants, and undefined symptom complexes (connective tissue disease or other). In one practice (Tebbetts and Tebbetts) that uses the TEPID system (tissue characteristics of the envelope, parenchyma, and implant and the

dimensions and fill distribution dynamics of the implant), implant selection is based on quantified patient tissue characteristics, pocket selection is based on quantified soft-tissue coverage, and anatomic saline implants have fill volumes that are designed to minimize shell collapse and fold fatigue; in this practice, the algorithms contributed to a 3 percent overall reoperation rate in 1662 reported cases with up to 7 years of follow-up, compared with a 20 percent reoperation rate at 3 years in the 2003 premarket approval study. (*Plast. Reconstr. Surg.* 114: 1252, 2004.)

During the U.S. Food and Drug Administration's advisory panel hearings on October 14 and 15, 2003, the panel members and patient advocate organization representatives voiced concerns about four specific areas regarding breast augmentation and breast implant devices: reoperation rates in primary breast augmentation; levels, depth, and methods of patient education and informed consent; modes, frequency, and management of silicone gel implant device failures, including management of "silent" ruptures; and methods of monitoring and managing symptoms or symptom complexes that may or may not be associated with connective tissue disease or other undefined symptom complexes.<sup>1</sup>

These four areas of concern and the rates of reoperation that accompany primary breast

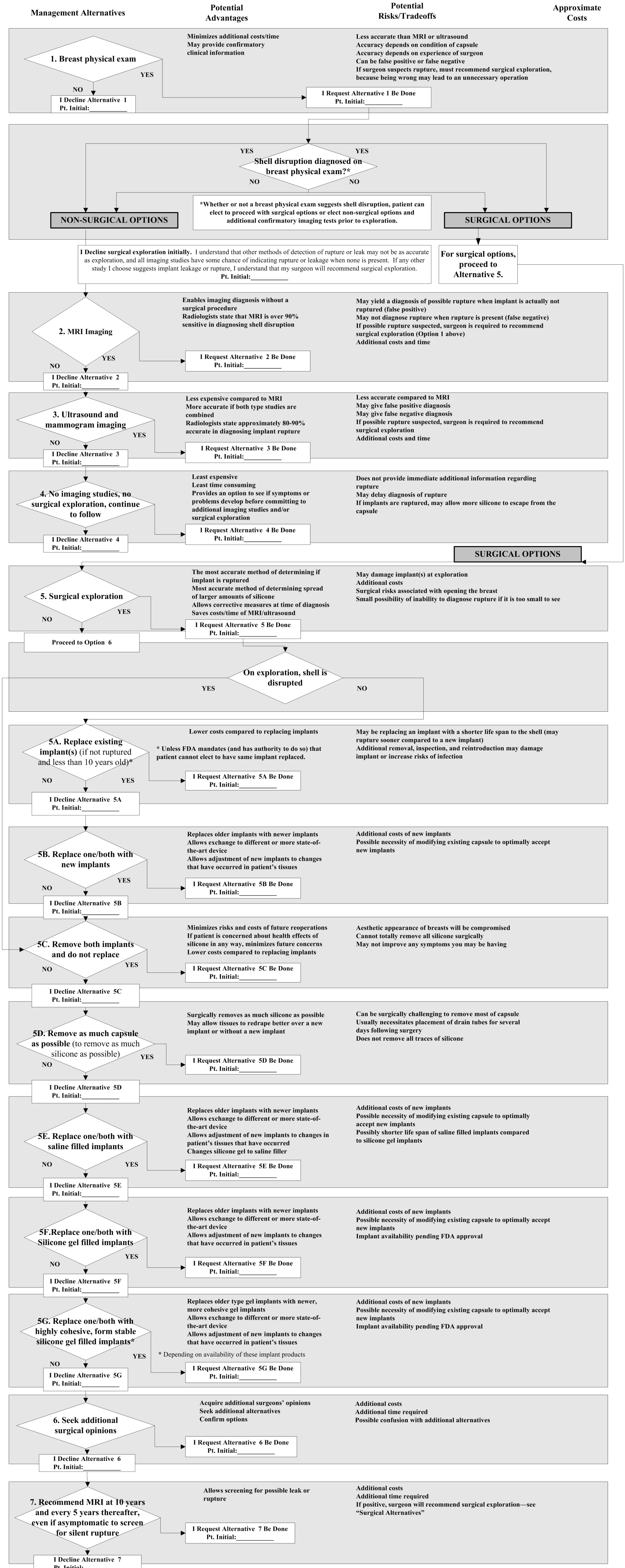
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Alternatives for Management of Concerns of Shell Disruption or Leaking Silicone Gel Implant  
The BASPI Workgroup, John B. Tebbetts, M.D., Moderator

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**\*\*Management alternatives are listed prioritizing alternatives most likely to reduce risks of additional operations, reduce additional risks and costs to the patient, and reduce risks of permanent, uncorrectable deformities.**



Surgeon actions:

- 1) Return all implants with shell disruption to manufacturer for analysis
- 2) Request a written report with results of analysis within 3 months from manufacturer

**\*\*If patient, surgeon, or patient's family are concerned about any aspect of silicone causing symptoms or possible associated conditions, see additional information and flowchart alternatives entitled "If Patient Has Symptoms or Concerns Related to Silicone"**

Patient Name (please print): \_\_\_\_\_  
Patient Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Witness Name (please print): \_\_\_\_\_  
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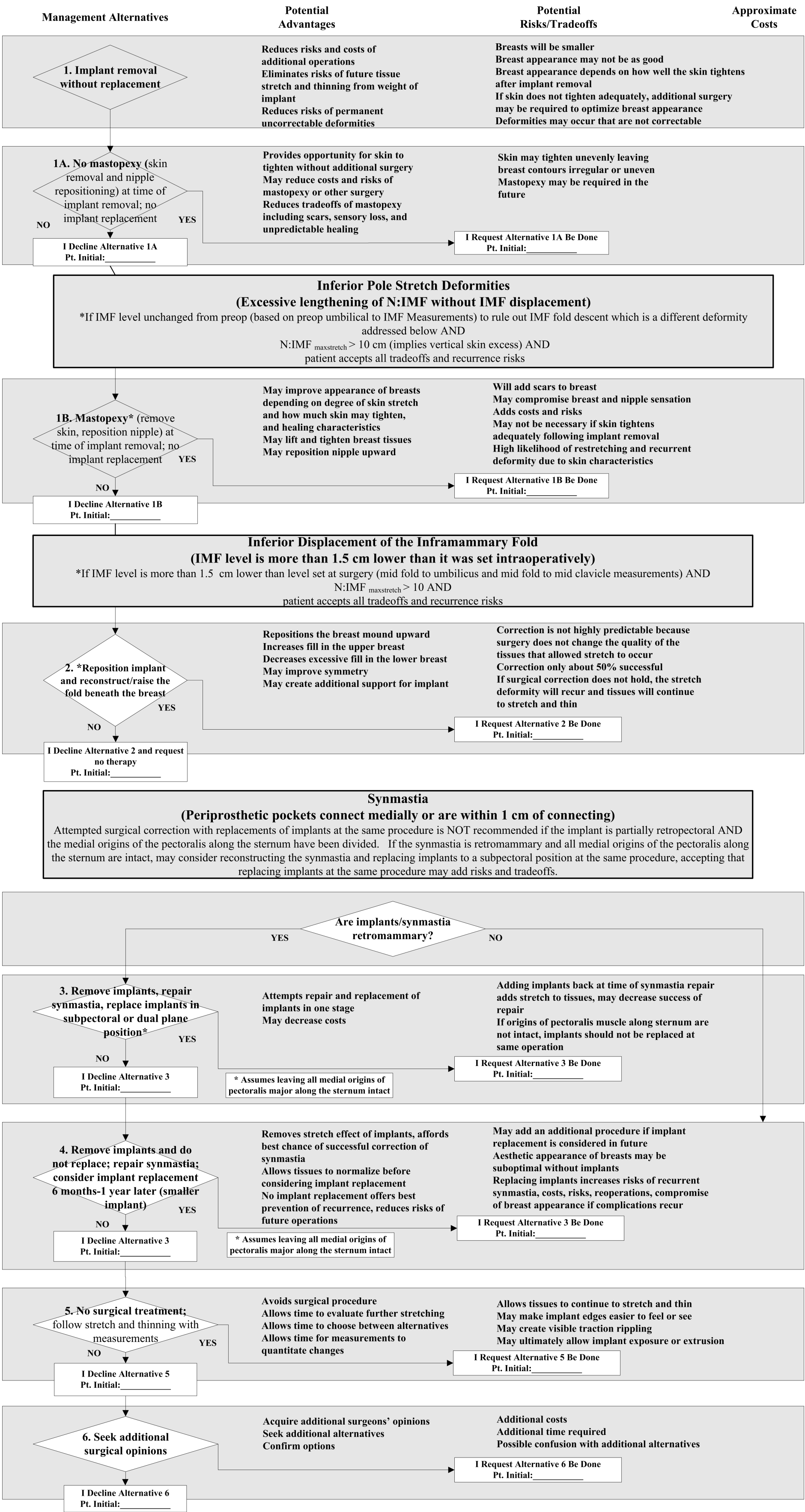
OUT POINTS

- 1) Patient requests removal without replacement at any time
- 2) When available soft tissue coverage in any area of the breast is less than 0.5 cm pinch thickness (0.25 cm overlying implant)

Alternatives for Management of Stretch Deformities and Implant Malposition  
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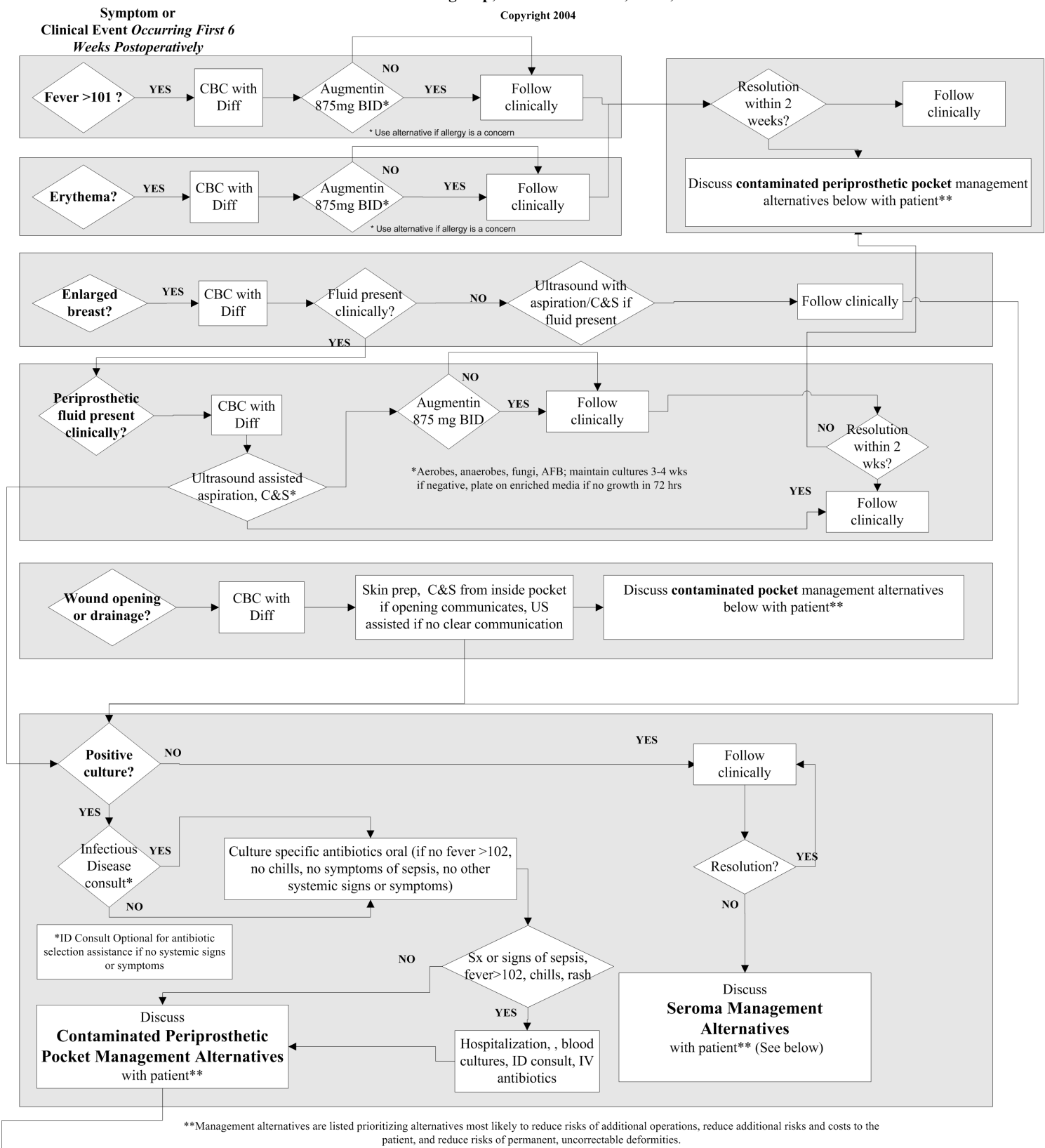


Patient Name (please print): \_\_\_\_\_ Witness Name (please print): \_\_\_\_\_

Patient Signature: \_\_\_\_\_ Witness Signature: \_\_\_\_\_  
Date: \_\_\_\_\_ Date: \_\_\_\_\_

- OUT POINTS**
- 1) If pinch thickness of soft tissues overlying implant in any area is <0.5 cm
  - 2) Implant shell visibility in any area where more soft tissue coverage is not available without tissue transfer
  - 2) Recurrence of any stretch deformity after a one or two-stage attempt at correction
  - 3) Patient request at any time

Alternatives for Management of Possible Periprosthetic Space Infection or Seroma  
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Contaminated Periprosthetic Pocket Management Alternatives	Potential Advantages	Potential Risks/Tradeoffs	Approximate Costs
<b>1. Remove both implants and do not replace either implant</b> YES 1 Decline Alternative 1 Pt. Initial: _____ NO 1 Request Alternative 1 Be Done Pt. Initial: _____	Best option to minimize additional reoperations, reduce additional risks and costs, and reduce risks of uncorrectable deformities.	May compromise aesthetic appearance of breast Depending on the amount of inflammation that has occurred, may result in internal scarring and distortion of breast form or breast deformity Even with immediate implant removal, may require at least 6 months for tissues to resolve in order to assess outcome Fluid may reaccumulate after implant removal and require additional surgery	
<b>2. Remove and do not replace only the affected implant*</b> YES 1 Decline Alternative 2 Pt. Initial: _____ NO 1 Request Alternative 2 Be Done Pt. Initial: _____	Provides an opportunity for resolution of infection and replacement of implant Implant removal increases likelihood of infection resolving and usually increases speed of resolution	Commits patient and surgeon to replacing implant in future (leaves breasts asymmetric) Pressures patient and surgeon to replace implant sooner rather than later Increases costs with no guarantee of salvage Virtually guarantees reoperation(s) in future Even if replaced, may develop recurrent infection If does not develop recurrent infection when replaced, carries increased risk of capsular contracture developing, more reoperations	
<b>3. Remove implant and affected capsule*, drain, antibiotics*</b> YES 1 Decline Alternative 3 Pt. Initial: _____ NO 1 Request Alternative 3 Be Done Pt. Initial: _____	Removes foreign body (implant) Removes infected or affected capsule New implant minimizes risks of reinserting a possibly contaminated implant Leaves breasts more symmetric	Increases costs and down time for patient Increases risks of reoperations No guarantee of success If unsuccessful, prolongs inflammation in tissues and increases risks of permanent, uncorrectable deformities in future Increases risks of future capsular contracture compared to removal without replacement	

If, and only if, the **implant is exposed AND cultures are negative AND Gram stain of pocket fluid is negative for bacteria**, consider option 3A—cleaning the pocket and replacing a new implant

<b>3A. Remove implant and LEAVE capsule, replace new implant, drain, antibiotics*</b> YES 1 Decline Alternative 3A Pt. Initial: _____ NO 1 Request Alternative 3A Be Done Pt. Initial: _____	<b>*Leave capsule only if pinch thickness over implant is less than 1 cm or if capsule is densely adherent to posterior surface of pectoralis muscle.</b> Provides additional soft tissue cover over implant Reduces risks of visible portions of implant Reduces risks of visible traction rippling	Leaving capsule (lining of pocket) to provide more tissue coverage may increase risks of recurrent or prolonged infection Leaving capsule may prevent optimal draping of your tissues over an implant
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Discuss  
**Seroma Management Alternatives**

Seroma Management Alternatives	Potential Advantages	Potential Risks/Tradeoffs	Approximate Costs
<b>1. Remove both implants and do not replace either implant</b> YES 1 Decline Alternative 1 Pt. Initial: _____ NO 1 Request Alternative 1 Be Done Pt. Initial: _____	Best option to minimize additional reoperations, reduce additional risks and costs, and reduce risks of uncorrectable deformities	May compromise aesthetic appearance of breast Depending on the amount of inflammation that may have occurred, may result in internal scarring and distortion of breast form or breast deformity Even with immediate implant removal, may require at least 6 months for tissues to resolve in order to assess outcome Fluid may reaccumulate after implant removal and require additional surgery	
<b>2. Remove and do not replace only the affected implant</b> YES 1 Decline Alternative 2 Pt. Initial: _____ NO 1 Request Alternatives 2 Be Done Pt. Initial: _____	Provides an opportunity for resolution of fluid accumulation and replacement of implant Implant removal increases likelihood of seroma (fluid) resolving and usually increases speed of resolution	Commits patient and surgeon to replacing implant in future (leaves breasts asymmetric) Pressures patient and surgeon to replace implant sooner rather than later Increases costs with no guarantee of salvage May increase risks of reoperation(s) in future If implant replaced, may develop recurrent fluid collection If does not develop recurrent fluid collection when replaced, may increase risk of capsular contracture developing, more reoperations	
<b>3. Remove implant and affected capsule, replace new implant, drain, antibiotics*</b> YES 1 Decline Alternative 3 Pt. Initial: _____ NO 1 Request Alternative 3 Be Done Pt. Initial: _____	Removes foreign body (implant) Removes infected or affected capsule even if culture is negative New implant minimizes risks of reinserting a possibly contaminated implant Leaves breasts more symmetric	Increases costs and down time for patient Increases risks of reoperations No guarantee of success If unsuccessful, prolongs inflammation in tissues and increases risks of permanent, uncorrectable deformities in future Increases risks of future capsular contracture compared to removal without replacement	
<b>4. If only incision area is involved, excise, wash pocket, reclose if tissues adequate</b> YES 1 Decline Alternative 5 Pt. Initial: _____ NO 1 Request Alternative 4 Be Done Pt. Initial: _____	Minimizes risks of implant removal and replacement (damage to implant) Minimizes risks of removing lining or capsule, drains, patient inconveniences	May leave affected or infected tissues around implant that are not visibly apparent May result in wound disruption due to condition of affected tissues If implant becomes exposed, it usually becomes infected Fluid collection around implant may occur, necessitating additional surgery, costs, risks May increase risks of future tissue damage and deformity if unidentified infection is present	
<b>5. If tissues are inadequate for closure, remove and do not replace implant</b> YES 1 Decline Alternative 5 Pt. Initial: _____ NO 1 Request Alternative 5 Be Done Pt. Initial: _____	Minimizes risks of implant extrusion and more severe tissue damage Minimizes risks of additional operations	May compromise aesthetic appearance of breast Depending on the amount of inflammation that may have occurred, may result in internal scarring and distortion of breast form or breast deformity Even with immediate implant removal, may require at least 6 months for tissues to resolve in order to assess outcome Fluid may reaccumulate after implant removal and require additional surgery	
<b>6. Consider smaller implant with capsular flap or local tissue transfer</b> YES 1 Decline Alternative 6 Pt. Initial: _____ NO 1 Request Alternative 6 Be Done Pt. Initial: _____	May provide additional tissue for coverage or incision closure	Increases donor site wound area Tissue transfer of any type increases risks of inadequate vascularity, possible tissue loss May increase risks of reoperation May increase areas of affected tissue, increase subsequent deformities Success is not guaranteed	
<b>7. No surgical intervention, antibiotics only</b> YES 1 Decline Alternative 7 Pt. Initial: _____ NO 1 Request Alternative 7 Be Done Pt. Initial: _____	Reduces costs and risks associated with a surgical procedure If successful, avoids surgical procedure costs, risks, recovery	Precludes opportunity to evaluate tissues around the implant Does not remove foreign body and infected or dead tissues adjacent to the implant that may not be apparent externally Antibiotics may improve symptoms but not resolve the cause of fluid accumulation which may return when antibiotics are discontinued May allow continued inflammation in tissues that is not clinically apparent and may increase risks of future uncorrectable deformities	
<b>8. No treatment</b> YES 1 Decline Alternative 8 Be Done Pt. Initial: _____ NO 1 Request Alternative 8 Be Done Pt. Initial: _____	No advantages	May increase risks of possible undiagnosed infection May ultimately increase risks of severe tissue damage that is likely to produce permanent, uncorrectable deformities May cause stretch of tissues that produces an uncorrectable deformity or requires additional surgery	
<b>9. Seek additional surgical opinions</b> YES 1 Decline Alternative 9 Pt. Initial: _____ NO 1 Request Alternative 9 Be Done Pt. Initial: _____	Acquire additional surgeons' opinions Seek additional alternatives Confirm options	Additional costs Additional time required Possible confusion with additional alternatives	

Patient Name (please print): \_\_\_\_\_ Witness Name (please print): \_\_\_\_\_  
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Date: \_\_\_\_\_ Date: \_\_\_\_\_

OUT POINTS

- Culture proved infection of the periprosthetic pocket
- Inadequate (<0.5 cm thick or severely indurated or inflamed) soft tissue coverage at the incision site for safe reclosure
- Any time patient requests removal without replacement

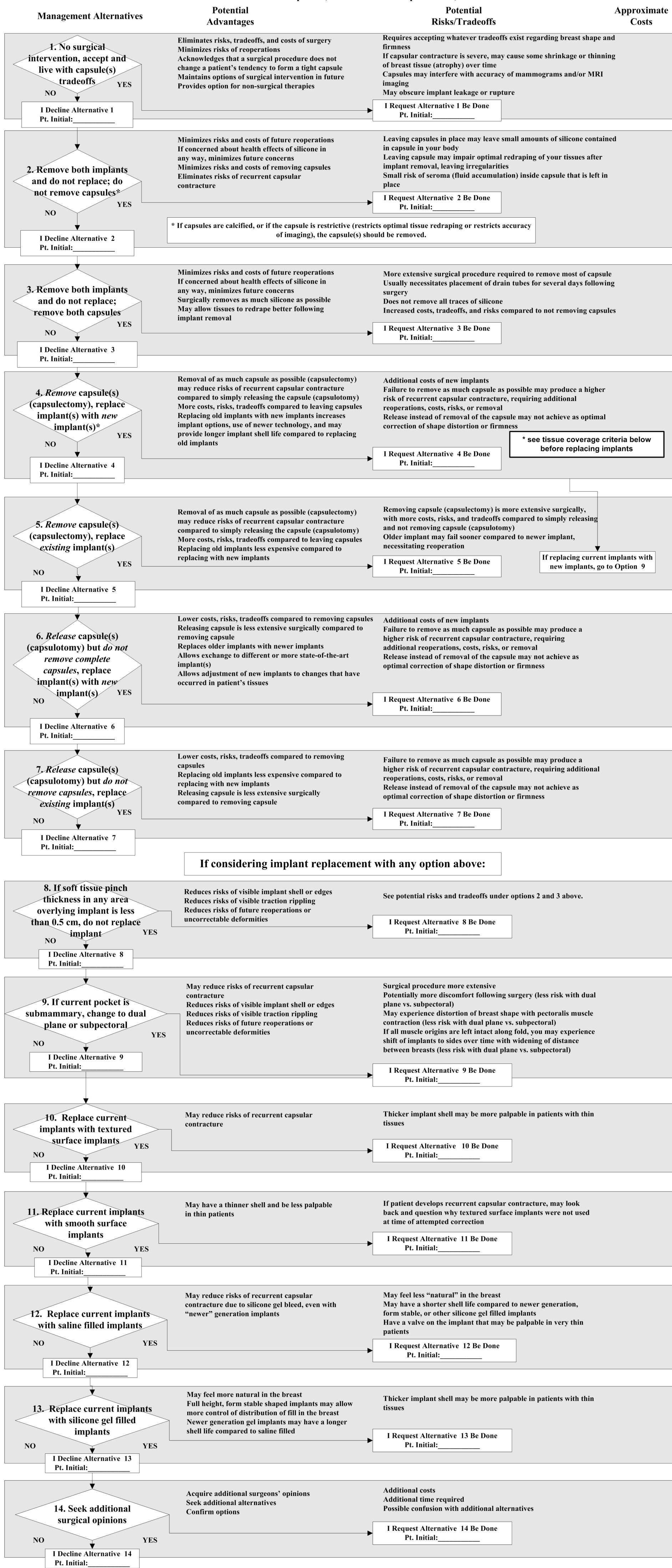
Implant Replacement Criteria

- No replacement for 3-6 months with no evidence of infection AND complete tissue resolution—normal soft tissues in all areas of the breast
- No replacement if the patient has had more than one episode of infection in the affected breast
- No replacement if soft tissue pinch thickness in any area overlying the implant is less than 0.5 cm



Alternatives for Management of Capsular Contracture Grades 3 and 4  
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**\*\*Management alternatives are listed prioritizing alternatives most likely to reduce risks of additional operations, reduce additional risks and costs to the patient, and reduce risks of permanent, uncorrectable deformities.**



**OUT POINTS**

- 1) Recurrent capsular contracture after one complete capsulectomy and replacement with textured surface implants, or one conversion from submammary to dual plane or subpectoral
- 2) Soft tissue coverage over any area of the implant is less than 0.5 cm pinch thickness
- 3) Patient requests implant removal without replacement at any time

**\*\*If patient, surgeon, or patient's family are concerned about any aspect of silicone causing symptoms or possible associated conditions, see additional information and flowchart alternatives entitled "If Patient Has Symptoms or Concerns Related to Silicone"**

Patient Name (please print): \_\_\_\_\_

Witness Name (please print): \_\_\_\_\_

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Date: \_\_\_\_\_

Witness Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Alternatives for Management of Implant Size Exchange  
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\*\*The larger a patient's breasts, augmented or not, the worse the breasts are likely to look over time. Also, the larger the breasts following a breast augmentation, the greater the risks of additional operations, additional risks costs to the patient, and additional risks of permanent, uncorrectable deformities.

Management Alternatives	Potential Advantages	Potential Risks/Tradeoffs	Approximate Costs
<div>1. Medical indications* for implant size exchange</div> <div>NO</div> <div>YES</div> <div>1A. I Request Exchange for medical reasons Pt. Initial: _____</div> <div>Potential benefits may outweigh potential risks, costs, tradeoffs</div> <div>Any reoperation increases risks, costs, tradeoffs No assurance of improved outcome Surgery does not improve the age and condition of tissues; hence result may not be better Larger implant always likely to have more negative effects on tissues over time Smaller implant may necessitate skin removal or lift, otherwise widens gap between breasts, decreases fill in upper breast</div>			
<div>*Medical Indications for Implant Size Exchange Include:</div> <div>• Patient requests a size exchange to a <i>smaller</i> implant and accepts all tradeoffs and risks when current implant base width exceeds width of parenchyma by more than 1.5 cm (size decrease) • Capsular contracture requiring complete capsulectomy (size increase) • Inappropriate size at primary operation according to TEPID System (size increase or decrease) • Implant size exceeding base width of existing parenchyma at primary operation except constricted lower pole breasts (for size decrease) or breasts with &lt; 1 cm of parenchymal base width • Parenchymal atrophy due to excessively large or excessively projecting (especially high profile round) implant at primary surgery (size decrease and projection decrease) • Reduction of skin envelope (mastopexy) at <i>staged procedure</i> (size decrease) *During patient evaluation for size exchange, surgeon should rule out other problems that can mimic size discrepancies including inferior pocket closure, capsular contracture, or inferior pole stretch deformities</div>			
<div>Patient requests a <i>smaller</i> breast implant</div> <div>YES</div> <div>NO</div>			
<div>2. I accept that my breasts will be smaller, emptier at the top, and I will have a wider gap between my breasts</div> <div>YES</div> <div>NO</div> <div>2A. I accept all risks and tradeoffs listed Pt. Initial: _____</div> <div>2B. I decline exchange to smaller implants when no medical reason is present Pt. Initial: _____</div> <div>Less weight in breast Likely less stretch of skin over time Likely less thinning of tissues over time Likely less risk of visible implant edges or shell Likely less risk of visible traction rippling</div> <div>May necessitate removal of excess skin for optimal aesthetics (mastopexy) with additional costs, risks, tradeoffs The results may not meet your expectations Tradeoffs may outweigh benefits of changing to smaller implants</div>			
<div>3. I accept that a visible change may require a substantial change in implant size</div> <div>YES</div> <div>NO</div> <div>3A. I accept all risks and tradeoffs listed Pt. Initial: _____</div> <div>3B. I decline exchange to smaller implants when no medical reason is present Pt. Initial: _____</div> <div>See benefits above for option 2</div> <div>See tradeoffs above for option 2</div>			
<div>Patient requests a <i>mastopexy</i> and a smaller implant</div> <div>YES</div>			
<div>4. Remove implant, perform mastopexy, <i>do not</i> replace implant</div> <div>YES</div> <div>NO</div> <div>4A. I request mastopexy and no replacement of an implant Pt. Initial: _____</div> <div>4B. I decline mastopexy and no replacement of an implant Pt. Initial: _____</div> <div>Improves breast shape and nipple position Reduces risks compared to replacing implant at same operation—risks of unpredictable results, restretching, wound healing problems, widened scars, stretching and asymmetry of areolas, tissue thinning, visible implant edges, and visible traction rippling Surgeon has more control over variables in mastopexy alone without simultaneous implant replacement</div> <div>May necessitate another operation if patient decides to replace an implant in the future Without implant, breast will be smaller Gap between breasts may be wider Upper breast will be emptier without implant, but with implant, additional stretch from implant will decrease upper fill over time even if an implant is placed</div>			
<div>5. Remove implant, perform mastopexy, replace implant with new, smaller implant at same operation</div> <div>YES</div> <div>NO</div> <div>5A. I request simultaneous mastopexy and implant replacement and accept all risks and tradeoffs listed Pt. Initial: _____</div> <div>5B. I decline simultaneous mastopexy and implant replacement Pt. Initial: _____</div> <div>May avoid another operation should patient decide to replace implants after mastopexy without implant replacement</div> <div>Replacing an implant in a breast that has already proved it does not support weight and sags, even if a smaller implant is placed, adds all of the following potential risks and tradeoffs that can necessitate additional reoperation: Additional sagging of breasts Additional thinning of skin Additional shrinkage of existing breast tissue Possible sensory loss Possible visible traction rippling Possible visible implant edges Deformities and compromises may be uncorrectable</div>			
<div>Patient requests a <i>larger</i> breast implant</div> <div>YES</div> <div>NO</div> <div>I decline exchange of my implant(s) to either a smaller or larger size Pt. Initial: _____</div>			
<div>6. No medical reason for exchange to larger implants</div> <div>YES</div> <div>NO</div> <div>6A. I request exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>6B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>May make patient happier with breast size</div> <div>Larger implant causes many more long-term negative effects on tissues Risks: Tissue thinning, tissue stretch, shrinkage of breast tissue, additional and more rapid sagging, palpable implant edges and shell, visible implant edges, visible traction rippling, possible additional sensory loss All additional risks associated with a first time augmentation May decrease accuracy of mammograms Additional costs, time off normal activities</div>			
<div>7. I accept additional tissue stretch that is not reversible</div> <div>YES</div> <div>NO</div> <div>7A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>7B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from additional tissue stretch</div> <div>Additional sagging of breasts Additional thinning of skin Additional shrinkage of existing breast tissue Possible sensory loss Possible visible traction rippling Possible visible implant edges Deformities and compromises may be uncorrectable</div>			
<div>8. I accept additional thinning of my skin that is not reversible</div> <div>YES</div> <div>NO</div> <div>8A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>8B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from additional thinning of skin</div> <div>Implant edges and shell will be easier to feel May see implant edges and shell Effects on tissues may not be apparent for several years May see rippling caused by implant pulling on thin overlying tissues (traction rippling) All above listed problems are uncorrectable</div>			
<div>9. I accept that I may develop visible implant edges that can't be corrected</div> <div>YES</div> <div>NO</div> <div>9A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>9B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from visible implant edges</div> <div>As the skin thins due to stretch caused by larger implants, implant edges may become visible; this deformity is often not correctable</div>			
<div>10. I accept that I may develop visible rippling in my breasts that is not correctable</div> <div>YES</div> <div>NO</div> <div>10A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>10B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from visible traction rippling on breasts</div> <div>When the tissues stretch and thin over time, the larger implant causes pull on the capsule which is attached to the thin overlying tissues, producing visible traction rippling that is usually uncorrectable</div>			
<div>11. I accept that I may cause additional, possibly permanent loss of sensation</div> <div>YES</div> <div>NO</div> <div>11A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>11B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from additional, possibly permanent loss of sensation</div> <div>In order to create additional space to accept a larger implant, your surgeon must create a larger pocket, and that means cutting more nerves; larger implants cause more stretch on the nerves that remain and can further impair sensation</div>			
<div>12. I accept potential shrinkage of my own breast tissue</div> <div>YES</div> <div>NO</div> <div>12A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>12B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from additional thinning of skin</div> <div>Although implant is larger, it may lose some size because of shrinkage of your own breast tissue from pressure of the larger implant (tissue atrophy) May have negative effects on sensation May negatively affect ability to nurse Loss of breast tissue may be irreversible and uncorrectable</div>			
<div>13. I accept that I am causing tissue changes over time that may necessitate additional reoperations</div> <div>YES</div> <div>NO</div> <div>13A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>13B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from causing additional reoperations</div> <div>Additional reoperations always increase costs, risks, and tradeoffs compared to the first operation Additional operations may not correct deformities created by larger implants</div>			
<div>14. I realize that tissue damage from larger implants may create uncorrectable deformities.</div> <div>YES</div> <div>NO</div> <div>14A. I accept all risks and tradeoffs listed at right Pt. Initial: _____</div> <div>14B. I decline exchange to larger implants when no medical reason is present Pt. Initial: _____</div> <div>No potential benefits from irreversible tissue damage</div> <div>Does not provide immediate additional information regarding rupture May delay diagnosis of rupture If implants rupture, larger implants may allow more silicone to escape from the capsule</div>			
<div>15. Seek additional surgical opinions</div> <div>15A. I Decline Another Surgical Opinion Pt. Initial: _____</div> <div>15B. I Request Another Surgical Opinion Pt. Initial: _____</div> <div>Acquire additional surgeons' opinions Seek additional alternatives Confirm options</div> <div>Additional costs Additional time required Possible confusion with additional alternatives</div>			

OUT POINTS

- 1) If soft tissue coverage in any area of the breast is less than 0.5 cm pinch thickness, decline to replace with larger implants
- 2) If N:IMF is increased more than 20% compared to N:IMF set at primary surgery (if measurement data are available), decline to replace with larger implants
- 3) If any portion of the implant is visible or if visible rippling is present in any portion of the breast, decline to replace with larger size implants

Patient Name (please print): \_\_\_\_\_

Witness Name (please print): \_\_\_\_\_

Patient Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Witness Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Alternatives for Management of Undefined Symptom Complexes

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**\*\*Management alternatives are listed prioritizing alternatives most likely to reduce risks of additional operations, reduce additional risks and costs to the patient, and reduce risks of permanent, uncorrectable deformities.**

Management Alternatives	Potential Advantages	Potential Risks/Tradeoffs	Approximate Costs
<div>1. Patient presents with undefined symptoms or associated with CTDs</div> <div>YES</div>	Identify and carefully document systemic or localized symptoms that may or may not relate to patient’s breast implants Opportunity to offer patient referral to specialist for evaluation and opinion regarding any relationships of symptoms to her breast implants	None	
<div>2. Refer to board certified rheumatologist and/or immunologist</div> <div>NO</div> <div>I Decline Alternative 2 Pt. Initial: _____</div> <div>If pt. declines #2, surgeon will recommend #4.</div>	Provides an opportunity for medical evaluation and diagnosis by board certified immunologist or rheumatologist May provide additional information to patient and plastic surgeon that may affect choices and decisions	Increases patient’s costs and time expenditure Consultant may not be able or willing to definitively state whether symptoms are related to breast implants	
<div>3. Consultant believes symptoms may be associated with patient’s breast implants</div> <div>YES</div> <div>NO</div>	Opportunity to get opinions from rheumatology, immunology, or other specialists regarding possible association of symptoms with patient’s breast implants Opportunity for second opinion Opportunity to make more valid decisions regarding removal of breast implants	If consultant believes there is any possible association of symptoms with breast implants, surgeon will likely recommend implants be removed and not replaced Consultant expertise may vary and surgeon cannot judge consultant expertise	<div>I request/do not request (circle one) referral for another opinion (plastic surgeon, rheumatologist, immunologist—circle all you desire) Pt initial _____</div>
<div>4. Remove* both implants and capsules, do not replace</div> <div>NO</div> <div>I Decline Alternative 4 Pt. Initial: _____</div>	<b>OUT POINT</b> Removing capsules and implants removes maximum possible amount of silicone from body Affords best opportunity to improve or eliminate symptoms if they are due to silicone in breast implants	Removing capsules prolongs surgical procedure, may increase bleeding risks, and will likely cause more drainage requiring drain tubes following surgery Increases costs, risks, and time away from normal activities to have implants removed May increase need for additional operations Depending on size of implants and patient tissue characteristics, skin stretch from implants may require additional surgery such as lifting or nipple-areola repositioning for optimal appearance Permanent, uncorrectable deformities may occur following implant removal due to patient healing characteristics and tissue characteristics May not produce any change in symptoms if symptoms not related to implants	<div>I Request Alternative 4 Be Done Pt. Initial: _____</div> <div>*Removal of a silicone or saline implant should be reported on the manufacturer's explant form for FDA purposes.</div>
<div>5. Remove both implants and do not replace, leave capsules</div> <div>NO</div> <div>I Decline Alternative 5 Pt. Initial: _____</div>	Shorter operation time by not removing capsules, potentially less bleeding and less risk of prolonged drainage or fluid accumulation if capsules are not removed	May leave additional silicone in body that may be contained in the lining tissue that has formed around the implant and is not visible to a surgeon May not produce any change in symptoms if symptoms not related to implants	<div>I Request Alternative 5 Be Done Pt. Initial: _____</div>
<div>6. Replace silicone gel filled implants with saline filled implants</div> <div>NO</div> <div>I Decline Alternative 6 Pt. Initial: _____</div>	Reduces total amount of silicone in body May reduce potential for silicone gel bleed from gel implants	May not produce any change in symptoms if symptoms not related to silicone gel Increases reoperations, costs, risks Increases reoperation risk for the future compared to implant removal without replacement If symptoms are related to silicone, presence of silicone shell of saline filled implants may make symptoms worse	<div>I Request Alternative 6 Be Done Pt. Initial: _____</div>
<div>7. No treatment</div> <div>NO</div>	Avoids costs and patient inconvenience of seeing additional physicians	Refusing treatment makes surgeon unable to help the patient Symptoms may get worse or cause additional problems over time No opportunity for specialists to evaluate patient and provide additional information to help make better decisions	<div>I Request Alternative 7 Be Done Pt. Initial: _____</div>

Patient Name (please print): \_\_\_\_\_

Witness Name (please print): \_\_\_\_\_

Patient Signature: \_\_\_\_\_

Witness Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**OUT POINTS**

1) Board certified rheumatologist relates symptoms, findings or diagnosis to breast implants, or diagnoses CTD

2) Patient desires implant removal, regardless of findings of rheumatologist

augmentation in the augmentation core studies (average rate of 20 percent within just 3 years) have remained largely unchanged for more than a decade.<sup>2,3</sup> Reoperation rates in premarket approval studies since 1992 have remained high while devices have changed from silicone to saline and back to silicone. Consistently high reoperation rates using different devices over more than a decade raise interesting questions: (1) To what extent are reoperation rates primarily device related, or (2) to what extent do patient and surgeon decisions and surgical techniques influence reoperation rates? A comparison of reoperation rates and panel concerns from the 1990 Food and Drug Administration's advisory panel hearings to those from the 2000 and 2003 hearings reveals that while implant devices may have changed (e.g., saline versus silicone), overall reoperation rates for primary augmentation have not changed appreciably. Understandably, scientists on the panel and patient advocacy representatives question why devices, reoperation rates, and outcomes have not improved substantially during the past decade. Interestingly, when panel members questioned surgeons and manufacturer representatives about the management of specific clinical entities that concerned the panel, clearly defined management solutions were not readily available. Testimony during the October 2003 panel hearings clearly defined a need for decision and management algorithms for clinical entities that concerned the advisory panel members.

For decades, the world's most successful businesses have understood and implemented the concept of "best practices," or "best" ways to perform business processes derived from processes that have proved effective in use.<sup>4</sup> A "best practice" does not necessarily mean that the process is literally the "best"; instead, it suggests that a business practice or process "solution" is a method that has been implemented and has delivered consistently positive results. A wide range of medical specialties are currently deriving best practices for specific clinical situations using evidence-based medicine principles, by integrating individual clinical experience with the best available clinical evidence. This article presents decision and management algorithms that have been implemented for more 7 years in a busy augmentation practice and that have been further expanded and refined by a group of surgeons

with a wide range of experience and expertise. Combined with a staged, repetitive system of patient education,<sup>5</sup> the TEPID<sup>6</sup> system (tissue characteristics of the envelope, parenchyma, and implant and the dimensions and fill distribution dynamics of the implant) for implant selection and pocket location based on quantifiable, individual patient tissue characteristics, and anatomic saline implants with fill volumes designed to minimize shell collapse and fold fatigue,<sup>7</sup> these algorithms have been a major factor contributing to an overall reoperation rate of 3 percent in 1662 patients with up to 7 years of follow-up in peer-reviewed and published studies.<sup>7-9</sup>

#### A NEED FOR BEST PRACTICES

More than 7 years ago, as we (Tebbetts and Tebbetts) focused on expanding and refining our patient education and informed consent practices,<sup>5</sup> we adopted a best practices approach to help us and our personnel address specific clinical issues or problems. Problems or situations that rarely arise can often be the most challenging for patients, surgeons, and surgeons' personnel, because patient interaction, management, and clinical "solutions" are less defined compared with everyday clinical situations and issues. We realized that when faced with an issue or a difficult clinical situation or problem, if we had carefully prospectively defined and documented a process of addressing and managing the problem, management was much easier, more refined, less costly, and more comfortable for us, for our patients, and for their families. Having predefined management templates (decision and management algorithms) also allows the surgeon to focus on more sophisticated concerns and innovative solutions instead of having to rethink an entire process each time a problem occurs.

#### DECISION AND MANAGEMENT ALGORITHM FLOWCHARTS

As a first step to developing a best practices approach to managing issues and problems, we developed decision and management algorithms for specific clinical problems or issues that we had encountered during the past two decades. Developing decision and management algorithms is a stimulating and challenging process. Despite the fact that there exist alternative approaches to every clinical problem or issue, a flowchart-documented, algorithm-



mic approach demands a “solution” rather than a list of alternatives that stimulate endless debate. A decision algorithm flowchart is a visible template that depicts one process that has proved clinically useful, and it can be easily changed or adjusted when new facts or data become available. Graphic representation of thought processes, decisions, and actions stimulates alternative thinking about problems or issues. A graphic algorithm flowchart helps surgeons define the sequence of decisions and the logic of management alternatives. In addition, the process stimulates surgeons to reexamine sacrosanct “answers” and develop even better solutions.

When issues or problems occur, the patient usually speaks first with the surgeon’s personnel. The information the patient receives in response to his or her problem, concern, or issue can have a critical effect on the patient’s comfort and confidence as the surgeon and the surgeon’s staff address the problem. Decision and management algorithms are invaluable in training personnel—not necessarily to deliver definitive answers but to develop a basic knowledge of how problems will be approached when they arise. Consistency in decision-making and management processes builds confidence in surgeons’ personnel, and that confidence transmits directly to patients when they most need confidence to deal with issues and adversity.

Defined processes to manage issues and problems are most effective when patients are aware of how an issue or problem will be managed *before* the issue or problem arises. As we (Tebbetts and Tebbetts) implemented our decision and management algorithms, we learned that their value increased exponentially when we used them to help educate our patients *preoperatively* about how we would manage each issue or problem postoperatively, offering them management alternatives and an opportunity to help make sometimes difficult decisions.

#### PATIENT EDUCATION AND INFORMED CONSENT

When a clinical situation or problem arises postoperatively, the more a patient knows from preoperative education about the problem, how it will be handled, who is responsible for costs, and the chances for correction, the more comfortably the patient can face the challenges. Preoperative informed consent materials and documents addressing the most com-

mon potential postoperative problems are available online from a previous publication.<sup>4</sup> When a reoperation may be necessary, patients are often more stressed and face additional costs and risks compared with the primary operation. Before any reoperation procedure is undertaken, detailed information and informed consent documentation are arguably more critical and more challenging compared with those for the primary operation. Detailed decision and management algorithms that contain essential summary information about the potential benefits and risks help clarify the realistic choices or alternatives. They contain spaces for the patient to document his or her understanding and acceptance of choices at each decision-making stage, and they are invaluable in assuring optimal informed consent and guaranteeing the patient’s involvement in the decision-making process. According to Mark Gorney, M.D., “it is the prerogative of the patient and not the physician to determine the direction in which it is believed his or her best interests lie,” as the informed consent law mandates that patients be involved in the decision-making process.<sup>10</sup> An integrated document that defines alternatives, provides information on potential risks and benefits, and documents the patient’s choices and decisions helps the surgeon ensure optimal informed consent before a reoperation. More importantly, the documents can sometimes prevent unnecessary reoperations, such as implant size exchange operations, by providing patients with more definitive information about the risks and tradeoffs. By demanding that patients accept responsibility for their decisions, optimal informed consent documents sometimes encourage patients to reconsider their requests and decisions.

#### PRACTICAL CLINICAL INTEGRATION AND IMPLEMENTATION

Currently in our practice (Tebbetts and Tebbetts), each decision and management algorithm is integrated with (a) information provided to the patient in preoperative patient education and informed consent documents and (b) more detailed information and alternatives contained in additional education and informed consent documents when an issue or problem occurs. After providing the patient with detailed information addressing a specific clinical situation or problem, a patient educator and the surgeon review the information

with the patient in detail. After the surgeon discusses and answers the patient's questions, the patient then re-reads and signs the informed consent document and defines his or her choices on the decision and management flowchart to verify his or her understanding and acceptance of the information and the choices made.

Reality sometimes demands difficult choices, none of which may seem ideal. One of the most difficult challenges in managing issues and problems is defining choices—translating a myriad of grey areas, unknowns, questions, wishes, and fears into realistic alternatives from which a patient may choose. A second challenge is helping the patient understand that there is no perfect choice, not at the primary operation and certainly not at a reoperation for an issue or problem. There are only different sets of tradeoffs, benefits, risks, and costs for each alternative. A clearly defined approach to management of each issue or problem and a practical, efficient system to optimize patient education and informed consent are invaluable. On first review, decision and management algorithms may seem complex, but they are only as complex as required to define the alternatives available to the patient according to the informed consent law.

#### MANAGEMENT AND DECISION ALGORITHM FLOWCHARTS: OBJECTIVES AND LOGIC

Each of the following flowcharts (Figs. 1 through 6) addresses a specific clinical problem or issue. They are not intended to be definitive. No "best practice" is ever definitive. Instead, each algorithm is a snapshot in time of a process that has proved clinically useful and effective—a template alternative that surgeons can examine, modify, individualize, and evolve according to surgeon and patient preferences and specific clinical situations. Each algorithm flowchart is a continuous work in progress that provides a basic set of alternatives from which to evolve better solutions.

For efficiency and to provide as much summary information as possible while outlining choices in flowchart form to help the patient make decisions, each decision and management flowchart incorporates two additional components: (1) a summary of potential benefits and tradeoffs associated with each decision and (2) a space for the patient to specifically accept or decline alternatives at each

stage of the decision-making process, documented in writing by the patient's initials.

Each algorithm flowchart has six specific objectives that coincide with concerns expressed by patients and the Food and Drug Administration: (1) to minimize reoperations; (2) to prioritize alternatives that are most likely to reduce reoperations; (3) to define realistic choices for surgeon and patient; (4) to involve the patient in the decision-making process; (5) to define "out" points for removal without replacement in specific clinical situations; and (6) to provide thorough documentation of choices and assumption of responsibility for the choices. When examining any decision or management suggestion in the algorithm flowcharts, surgeons should carefully consider these priorities. In each flowchart, decisions and management alternatives are prioritized in a specific order to prevent additional reoperations with their inevitable risks and costs.

Every reoperation increases costs and risks. Reoperation rates approximating 20 percent are, at the least, highly questionable for medically necessary operations and are logically unjustifiable for any totally elective, primary cosmetic surgical procedure. An implant size change procedure, a common reoperation which may be a totally elective patient preference, increases risks and costs. If preoperative patient education and informed consent are optimal, and if choice of implant size is based on quantifiable tissue characteristics, reoperations for size change can be virtually eliminated.<sup>6-9</sup> A reoperation is a reoperation, regardless of whether it is medically necessary or requested by the patient for aesthetic or personal reasons. Reoperations inarguably increase costs and risks that would not be present if the reoperation did not occur. While patients have a right to request the operations they choose, limiting medically unnecessary reoperations and reducing overall reoperation rates require that surgeons define and enforce strict indications for reoperations.

Implant removal without replacement is an alternative available to every surgeon and every patient before any reoperation is performed following breast augmentation, and it is the alternative most certain to minimize additional risks and costs of reoperations. If the choice of implant size has been based on quantifiable tissue dimensions and characteristics preoperatively, implant removal without replacement (in the absence of infection or severe inflam-

mation) usually allows the breast to return to a form that approximates the effects of a pregnancy on the breast. Few patients or surgeons ever want to remove breast implants after the patient has experienced their benefits. In specific situations (e.g., multiple reoperations for capsular contracture, multiple attempts to salvage contaminated or infected implants, or severe stretching or thinning of overlying tissues with traction rippling or visible implant edges), implant removal without replacement is medically the best and most logical solution.

Genetic characteristics of patients' tissues that allow excessive stretching with even small implants, wound-healing predispositions that produce recurrent capsular contractures, and inflammatory processes around an implant are all factors that surgeons cannot predict or control. Patients should understand and document their acceptance of these facts before the primary augmentation. Then should any of these events occur, surgeons and patients will have discussed and agreed upon predefined "out" points preoperatively. Out points for implant removal without replacement are discussed in detail with patients preoperatively, and patients accept those out points in written informed consent documents.<sup>11</sup> When patients or surgeons choose not to define these out points, or choose not to remove and not to replace implants when irreversible tissue consequences are present, both the patient and the surgeon assume responsibility for the risk of deformities that may not be correctable.

#### DECISION AND MANAGEMENT ALGORITHMS

The six decision and management algorithm flowcharts address the following clinical issues or problems: implant size exchange (Fig. 1), grade III or IV capsular contracture (Fig. 2), infection (Fig. 3), stretch deformities (implant bottoming or displacement) (Fig. 4), silent rupture of gel implants (Fig. 5), and undefined symptom complexes that may be associated with connective tissue disease or other undefined problems (Fig. 6). Each algorithm has evolved in our (Tebbetts and Tebbetts) clinical practice for the past 7 years and has been effective in helping us address these issues, resulting in an overall reoperation rate of 3 percent, a deflation or implant failure rate of 0.78 percent, and a reoperation rate of 0.24 percent for size adjustment or exchange in 1662 cases reported in *Plastic and Reconstructive Surgery* with up to 7 years of follow-up.<sup>7-9</sup> Each

algorithm addresses a specific clinical situation of concern to the Food and Drug Administration's advisory panel of 2003.

#### REFINING THE DECISION AND MANAGEMENT ALGORITHMS: A SURGEONS FOR PATIENTS INITIATIVE

Additional input from surgeons with a wide range of experiences and expertise could undoubtedly refine and improve the decision and management algorithms derived in a single practice. Ethical issues, medicolegal issues, variations in practice orientation and management, and issues addressing standards of practice could best be addressed by seeking input from other surgeons with expertise in each of these areas. Variations in practice occur as practices evolve. A broad range of innovative ideas, approaches, and expertise from surgeons in varying types of practices and with different levels of experience offers an opportunity to expand and improve decision and management processes, making the ultimate product more flexible and comprehensive.

To further improve and widen the scope of the decision and management algorithms, we (Tebbetts and Tebbetts) sought the input and expertise of the other authors of this article. To address patient and Food and Drug Administration concerns, the Breast Augmentation Surgeons for Patients Initiative (BASPI) focused on a single objective: reducing reoperation rates in breast augmentation. The participants who coauthored this article each prepared extensively by developing and submitting alternative decision and management solutions for each topic listed. During 2 days of intensive workgroup sessions and follow-up communications to verify revisions, key contributions from all participants' solutions were integrated to derive the final algorithms presented in this article.

The effort by this joint workgroup of plastic surgeons with diverse backgrounds and experiences was to develop decision and management algorithms to assist in reducing reoperation rates in breast augmentation and improve patient outcomes. All templates are optional, additional resources for surgeons to consider when addressing the specific clinical topics.

The Surgeons for Patients Initiative materials and solutions are designed to codify and present information and alternatives to make repetitive decision-making processes more efficient by defining templates for management

that have proved effective in long-term clinical experience. Basic management templates allow surgeons to focus on more detailed specifics of each clinical situation and, it is hoped, improve reoperation rates and outcomes. The Initiative provides defined solutions that prove to patients, the Food and Drug Administration, and patient advocacy groups that defined alternatives and solutions exist to address their concerns regarding causes of reoperations.

These decision and management algorithms are not intended to define standards of practice. The templates are intended to delineate a set of options available to patients and surgeons, not to define or limit surgeons' or patients' choices. No component of any algorithm is intended to supplant any area of a surgeon's clinical decision making. These decision and management algorithms cannot and do not address all of the variables that may exist in any clinical situation, and in every situation they must be adjusted by the surgeon to fit the clinical issues.

All decision and management algorithms assume that the surgeon has obtained all pertinent baseline historical and medical background information pertaining to the clinical situation. Addressing the clinical options available to the patient and surgeon for optimal decision making and the requirements of informed consent requires the level of complexity presented in the algorithms. To limit complexity, the algorithms are not intended to address the management of unanticipated findings during surgery.

#### CONCLUSIONS

Defined management algorithms have proved invaluable to a wide range of businesses and professionals by optimizing business practices and addressing issues and problems. The decision and management algorithms presented in this article have been used successfully for 7 years in a busy breast augmentation practice, and they have been further expanded and refined by surgeons with a wide range of

experiences and expertise to address the following issues and concerns that have been expressed by patients and the Food and Drug Administration: implant size exchange, grade III or IV capsular contracture, infection, stretch deformities (implant bottoming or displacement), silent rupture of gel implants, and undefined symptom complexes (connective tissue disease or other).

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